

# ASSOCIATE FINANCIAL INTEGRITY FORM

LIQUOR AND CANNABIS REGULATION BRANCH

## **INSTRUCTIONS**

Complete all applicable fields of this application form and attach any additional documentation as clearly identified attachment or separate file. To save when complete, choose "save as".

Full Legal Name								
Address								
Street					City			
Province/State	Postal Code/Zip Co	ode	Country					
Personal Information								
Date of Birth Gender			Email Address					
Phone								
Place of Birth								
City	Province/State		Country					
Retail Store Licence Applicatio	n Information							
Reference Number The application (or	"job") number the LCRB gave	e the applicant.	Address The physical a	ddress of the applican	t's proposed store.			
PART 1: PAST ADDRESSES								
If you lived somewhere else in Attach information as clearly id				an add as man	y addresses as y	you need.		
Street	City	Province	Country	Postal Code	From	То		



#### PART 2: EMPLOYMENT INFORMATION

From the age of 18 or for the past 20 years (whichever is shortest), provide the following information regarding work history (including any change in title), involvement in businesses, and/or periods of unemployment commencing with your current employer. Attach any additional information if more space is required.

From	То	Current Employer	<i>y</i> er			
Mailing Address						
Maining Address						
Position Held	Description of D	uties	Supervisor Name/	Contact Information		
Reason for leavir	ıq					
From	То	Employer		Business Phone		
Mailing Address						
Mailing Address						
Position Held	Description of D	uties	Supervisor Name/	Contact Information		
Reason for leavir	ıg					
From	То	Employer		Business Phone		
Mailing Address						
Position Held	Description of D	uties	Supervisor Name/	Contact Information		
Reason for leaving						
	<u> </u>					
From	То	Employer		Business Phone		
Mailing Address						
Mailing Address						
Position Held	ion Held Description of Duties			Supervisor Name/Contact Information		
Reason for leavir	ng	,		,		



## PART 3: EMPLOYMENT DISCHARGE/SUSPENSION/RESIGNATION/DISCIPLINARY ACTION

Were you ever discharged, suspended, asked to resign from employment or disciplined in any manner?
Yes No
If yes, you must attached the following information for each occasion on which you were discharged, suspended, asked to resign or disciplined:
Employer's name and address
Name of supervisor/person who initiated the action
Date of discharge, resignation, suspension or disciplinary action
Circumstances for discharge, resignation, suspension or disciplinary action

#### **PART 4: CORPORATE ASSOCIATIONS**

Provide the following information for all corporations, partnerships, sole proprietorships, limited liability companies or any other business ventures/entities that you were/are associated or involved with as an officer, director, shareholder, trustee, stakeholder, beneficiary, or related capacity. Indicate if the entity was involved with cannabis during your term of association or involvement.

If additional space is required, include as attachment.

Name and Address of Entity (Street, City, Province/State, Posta	all/ZIP Code, telephone Number)						
Company Description	Position Held	From	То				
Reference Person							
Name and Address of Entity (Street, City, Province/State, Posto	al/ZIP Code_telebhone Number)						
(accepting)							
Company Description	Position Held	From	То				
Reference Person							
Name and Address of Entity (Street, City, Province/State, Post	all/ID Code delableage Niverbox						
Name and Address of Entity (sueet, city, Florince state, Fost	unzir Code, telephone Number)						
Company Description	Position Held	From	То				
Reference Person							
Name and Address of Entity (Street, City, Province/State, Postal/ZIP Code, telephone Number)							
Company Description	Position Held	From	То				
Company Description	rosition neid	From	10				
Reference Person							



# **PART 4: CORPORATE ASSOCIATIONS - CONTINUED**

Have you ever resigned, be other position?  Yes No	en removed, or discharged from a position of trust as a trustee, board member, officer, director or in any
If yes, you must attach the Name and address of Position held Date of resignation, r Reason(s)	fentity
PART 5: FINANCIAL	
Financial Accounts - Identif	y all current accounts at financial institutions
Name of Institution	
Branch Address	
Type of Account	
Account Number	
Name of Institution	
Branch Address	
Type of Account	
Account Number	
Name of Institution	
Branch Address	
Type of Account	
Account Number	
If more than 3 accounts, at	tach as separate document.
PART 6: TAXES	
Do you complete/file your Yes No If no, complete the followi	own taxes?  ng information for the person or firm responsible for filing your taxes.
Name of Person or Firm	Phone Number: Email:



## **PART 7: FUNDING & DEBT**

Funding Sources Where you are getting your money from	
If more than four funding sources, please attach information as clearly capital investment, continuing operations, personal savings, fully repair	
Type of Funding	Amount of Funding (CAD\$)
Type of Funding	Amount of Funding (CAD\$)
Type of Funding	Amount of Funding (CAD\$)
Type of Funding	Amount of Fullating (CAD4)
T. 65 "	A 1.55 II (04P4)
Type of Funding	Amount of Funding (CAD\$)
Attach documents demonstrating the transmission, receipt, and repayr bank deposits, related bank statements, and any governing document	
bank deposits, related bank statements, and any governing document	s (i.e., iban agreements).
Have you loaned monies, equipment or assets to any persons or busing	esses?
Yes No	
If more than one, please attach information as clearly identified attach	ment or separate file.
If yes, was the loan for a person or business?	Name of Recipient
Person Business	
Address	
Date of Loan	Amount Loaned (CAD\$)
Reason for Loan	Terms of the Loan
Do you have any of the following? Mark all that apply	
Liens Conditional Guarantees	
Securities Other interests caused by debt	
If more than one, please attach information as clearly identified attach	ment or separate file.
Name of Business or Individual that Holds the Debt	Occupation or Business of Debt Holder
Relationship to the Business	Amount (CAD\$)
Upload any agreements related to these interests	



# PART 8: CONNECTIONS TO FEDERAL PRODUCERS OF CANNABIS

Do you have any association, connection, or financial interest to a federal producer?
Yes No *Associates of Producer Retail Store applicants must identify their connections to other producers that are not the applicant This includes:  • Directly holding voting shares in a federal producer  • Indirectly holding voting shares in a federal producer. For example, through a subsidiary  • Having a beneficial interest in a federal producer. For example, through a trust  If more than one, please attach information as clearly identified attachment or separate file.
Provide the name of the federal producer, the type of shares, percentage of ownership, and any other details about the holding of voting shares
Do you have an immediate family member that has any connection to a federal producer?
Yes No *Associates of Producer Retail Store applicants must identify their connections to other producers that are not the applicant.  This includes: Directly holding voting shares in a federal producer Indirectly holding voting shares in a federal producer. For example, through a subsidiary Having a beneficial interest in a federal producer. For example, through a trust  Immediate family members include spouses, parents, siblings, children, sons-in-law and daughters-in-law
If more than one, please attach information as clearly identified attachment or separate file.
Provide the name of the federal producer, the type of shares, percentage of ownership, and any other details about the holding of voting shares



#### PART 9: CANNABIS RETAIL STORE LICENCE RESTRICTION

A licensee can only hold or have an interest in a maximum of eight (8) Cannabis Retail Store licences. A Cannabis Retail Store franchisor cannot have more than 8 franchises. The Cannabis Retail Store licence restriction does not apply to Producer Retail Stores. A federal producer can hold one Producer Retail Store licence for each eligible federal production licence they hold.

Does the associate have any connection, association or financial interest in another non-medical cannabis retail store application/licence in British Columbia?

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- The associate is a significant shareholder, or a significant shareholder of a significant shareholder of another applicant/licensee.
- The associate has any immediate family member that has any financial interest in another applicant/licensee. Note: A significant shareholder is a defined term in s. 6(1) of the Cannabis Control and Licensing Interim Regulation

If more than one, please attach information as clearly identified attachment or separate file.

If yes, please describe any other applications and the associate's connection to the application:					

Privacy Collection Notice

The information requested on this form is collected by the Liquor and Cannabis Regulation Branch under Sections 26 (a) and (c) of the Freedom of Information and Protection of Privacy Act for the purpose of cannabis licensing, compliance and enforcement matters in accordance with the Cannabis Control and Licensing Act. Should you have any questions about the collection, use, or disclosure of personal information, please contact the Freedom of Information Officer at PO Box 9292 STN PROV GVT, Victoria, BC, V8W 9J8 or by phone toll free at 1-866-209-2111.