

WHO MUST COMPLETE THIS FORM?

This Corporate Disclosure Form must be completed by applicants in the following gaming categories:

- Casino, Bingo and Community Gaming Centre Services Providers
- Horse Racing Operators and Horse Racing Teletheatre Operators
- Gaming Suppliers, Manufacturers or Distributors
- Other gaming related suppliers or services, including gaming management services, concessionaires games operators, security, surveillance, ticket rafflers and call centres, social occasion casino operators, game test labs, providers of training or gaming workers and automated banking services providers.
- Companies that are Associates of a Gaming Services Provider (parent, shareholder, investor, lender, subsidiary, etc.)

WHAT ARE THE COSTS?

REGISTRATION CLASSES	APPLICATION FEE * and ANNUAL REGISTRATION FEE **
Casino Operators <ul style="list-style-type: none"> • Class A Casino (800 or more slots) • Class B Casino (between 500 and 799 slots) • Class C Casino (less than 500 slots) 	\$100,000 per site \$ 50,000 per site \$ 25,000 per site
Bingo / Community Gaming Centre Operators <ul style="list-style-type: none"> • Community Gaming Centre (bingo and slot operational services) • Class A Bingo (BCLC paid remuneration that exceeded \$1 million in the most recently completed financial year of the service provider) • Class B Bingo (BCLC paid remuneration that did not exceed \$1 million in the most recently completed financial year of the service provider) 	\$ 10,000 per site \$ 2,000 per site \$ 1,000 per site
Horse Racing Operators <ul style="list-style-type: none"> • Horse Racing Operators of race tracks (with more than 50 race days per year) • Seasonal Horse Racing Operator of race tracks (with less than 50 race days per year) 	\$ 5,000 per site \$ 100 per site
Horse Racing Teletheatre Operators <ul style="list-style-type: none"> • Licensed Teletheatre Operators • Contracted Teletheatre Operators 	\$ 1,000 \$ 250
Gaming Suppliers, Manufacturers or Distributors <ul style="list-style-type: none"> • Class A Suppliers (manufacturer or manufacturer and distributor of slot machines) • Class B Suppliers (annual sales of more than \$25,000) • Class C Suppliers (annual sales up to \$25,000) 	\$20,000 \$ 5,000 \$ 1,000
Other Classes of Gaming Services Providers <ul style="list-style-type: none"> • includes Security and Surveillance Service Providers, Concessionaire Games Operators, Ticket Rafflers, Social Occasion Casino Operators, Testing Laboratories, Gaming Management Services and other Services as deemed • Automated Banking Services Providers 	\$ 1,000 \$ 250
Associated Companies <ul style="list-style-type: none"> • Companies that are Associates of a Gaming Services Provider 	\$ 250

* The **Application Fee** is required to initiate a new or renewal application.

** The **Annual Registration Fee** is a yearly fee equal to the application fee paid by the applicant and is required to maintain registration. It is remitted to GPEB each year on or before the registration anniversary date (the date registration was approved by GPEB). The annual registration fee does not apply to Associates of a registrant. (Associated Companies are exempt from Annual Registration Fees.)

Costs of Background Investigations, Section 62 and 63 Gaming Control Act.

Prior to the commencement of a background investigation, applicants for registration will be required to submit a deposit equal to GPEB's written estimate of costs of the background investigation. The costs of a background investigation include, but are not limited to the cost of investigator time @ \$150 per hour, out-of-province travel costs, and where it is deemed necessary, the engagement of specialized financial, management, or investigation advisory services. (Seasonal Horse Racing Operators are exempt from background investigation costs.)

Remit fees (money order or certified cheque) payable to: **Minister of Finance in Canadian Funds**. Do **NOT** remit cash.

WHERE CAN I GET MORE INFORMATION?

The attached **LINK** will access the Gaming Control Act, the Gaming Control Regulation and related information:
www.gaming.gov.bc.ca

WHAT IF I HAVE FURTHER QUESTIONS?

If you have questions about the disclosure documents or the registration process, contact the Registration and Certification Division at (250) 356-0663 or by email at gaming.registration@gov.bc.ca.

RESPONSES

Print or type your responses. Answer all questions fully and truthfully. If a question does not apply to you, state Not Applicable or N/A. If more space is required or if the requested information exists in another format, cross reference the attachment to the relevant question. For example, print Attachment 4 on the attachment and state See Attachment 4 beside Question 4.

Read each question carefully. Submitting the application gives the GPEB authority to investigate your answers. If you do not fully disclose, leave out any important information or answer incorrectly, your application may be refused. If it is determined after you have been registered that your answers on this application were false, incomplete or misleading, or you failed to notify GPEB of any material change to this information which occurs after the application has been filed, your registration may be cancelled.

You are seeking the granting of a privilege and the burden of proving suitability for a favourable determination is at all times on you. You must accept any risk of adverse publicity, embarrassment, criticism or other action, or financial loss, which may result from action with respect to an application, and expressly waive any claim for damages as a result thereof.

After completing the forms, ensure you have signed all required forms and have included the application fee. **Retain a copy of your application and all submitted documents for your records.** Be prepared to bring your copy to any personal interviews that are conducted.

NOTE: In an effort to reduce paper, space and postage costs, applicants are encouraged to submit their supporting documentation (excluding the Corporate Disclosure Form) electronically.

WHERE DO I SEND THE COMPLETED FORMS AND FEES?

BY COURIER:

Gaming Policy and Enforcement Branch
Registration and Certification Division
910 Government St, 3rd Floor
Victoria BC V8W 1X3 Canada
Telephone: (250) 356-0663

BY MAIL:

Gaming Policy and Enforcement Branch
Registration and Certification Division
PO Box 9202, Stn Prov Govt
Victoria BC V8W 9J1 Canada

WHAT HAPPENS ONCE I SUBMIT MY APPLICATION?

All information and documents provided as part of this disclosure become the property of GPEB and will not be returned.

An application may not be withdrawn without the written permission of GPEB. Application fees are not refundable.

Expect a GPEB investigator to contact you. You may be required to provide other information in addition to what is requested in this application package.

Successful applicants will receive a letter of registration and a copy of the Conditions of Registration from the Director of Corporate Registration. Registration decisions by GPEB are final.

CHANGE OF INFORMATION

If any of the information supplied changes subsequent to the submission of this disclosure form, you must immediately submit the changes in writing.

HOW WILL THE INFORMATION IN THE APPLICATION BE USED?

Except as provided in the Consent to Release of Corporate Information form, all information provided in this application, including attachments and supporting documents, shall be kept confidential in accordance with the Freedom of Information and Protection and Privacy Act (BC).

You may direct questions about the collection and use of this information to the Director of Corporate Registration, GPEB.

CONFIDENTIAL

<p>FOR OFFICE USE ONLY</p> <p>Amount Received \$ _____ .00</p> <p>Currency <input type="checkbox"/> CAD <input type="checkbox"/> USD</p> <p> <input type="checkbox"/> Other: _____</p> <p>Date (YYMMDD) _____</p> <p>Receipt # _____</p>	<p align="center"><input type="checkbox"/> NEW <input type="checkbox"/> RENEWAL</p> <p>CREG File # _____</p> <p>GBC ID # _____</p> <p>Application # _____</p> <p>Registration Class _____</p> <p>Registration Code _____</p>
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CORPORATE DISCLOSURE FORM



**GAMING POLICY AND ENFORCEMENT BRANCH
REGISTRATION AND CERTIFICATION DIVISION**

<p>Date Application Completed:</p> <p align="center">(yyyy-mm-dd)</p>	<p>Has this entity previously been registered to participate in the gaming or horse racing sectors in British Columbia?</p> <p align="center"> <input type="checkbox"/> No <input type="checkbox"/> Yes, Registration Expiry Date: _____ (yyyy-mm-dd) </p>	
<p>NAME OF THE PERSON TO BE CONTACTED IN REFERENCE TO THIS CORPORATE DISCLOSURE</p>		
Surname	First Name	Business Telephone
Corporate Name		Business Facsimile
Title/Position		Email Address

1	CORPORATE INFORMATION			
NAME AND ADDRESS OF APPLICANT COMPANY				
Legal Name		Date Established/Incorporated (yyyy-mm-dd)		Corporation/Tax/Society Number
Tradestyle/Business Name/Operating as		Fiscal Year End (mm-dd)		
Corporate Address				
Suite/Unit/Floor		Street Name		Telephone Number
				Facsimile Number
City		Province/State	Country	Postal/Zip Code
Email Address/Web Site				
Registered Office Address (address to which legal notice can be served) if different from above address				
Suite/Unit/Floor		Street Name		Telephone Number
				Facsimile Number
City		Province/State	Country	Postal/Zip Code

1A	CORPORATE RELATIONSHIP TO THE APPLICANT COMPANY			
To be completed only by companies that are related to or are Associates of the applicant company. (Check the appropriate boxes)				
The company is a:				
A <input type="checkbox"/> Parent <input type="checkbox"/> Subsidiary <input type="checkbox"/> Associate of _____ (Name of Company Applying for Registration)				
B <input type="checkbox"/> Shareholder <input type="checkbox"/> Lender of _____ (Name of Company Applying for Registration)				
C <input type="checkbox"/> Shareholder <input type="checkbox"/> Lender of _____ (Name of Company)				
which is a:				
<input type="checkbox"/> Parent <input type="checkbox"/> Subsidiary <input type="checkbox"/> Associate of _____ (Name of Company Applying for Registration)				

The company is applying for registration as a:

Casino

- Class A Casino with 800 or more slots.
Specify location(s): _____
- Class B Casino with between 500 and 799 slots.
Specify location(s): _____
- Class C Casino with less than 500 slots.
Specify location(s): _____

Bingo

- Community Gaming Centre
Specify location(s): _____
- Class A Bingo, to which BCLC has paid remuneration that exceeded \$1 million in the most recently completed financial year of the services provider under a BOSA.
Specify location(s): _____
- Class B Bingo, to which BCLC has paid remuneration that did not exceed \$1 million in the most recently completed financial year of the services provider under a BOSA.
Specify location(s): _____

Horse Racing

- Horse Racing Operators with 50 or more racing days per year
- Seasonal Horse Racing Operators with fewer than 50 racing days per year
Specify location(s): _____

Horse Racing Teletheatre

- Licensed Teletheatre Operators
- Contracted Teletheatre Operators
Specify location(s): _____

Gaming Suppliers, Manufacturers or Distributors

- Class A Suppliers that manufacture slot machines or that manufacture and distribute slot machines
- Class B Suppliers providing more than \$25,000 or more worth of goods or services annually
- Class C Suppliers providing less than \$25,000 worth of goods or services annually

Other Gaming Services Providers

- Security or Surveillance Services Provider at a gaming facility
- Concessionaire Games Operator (Fair, Exhibition or Community Carnival)
- Ticket Raffle and/or Call Centre
- Social Occasion Casino Operator
- Testing Laboratory
- Gaming Management Services
- Automated Banking Services Provider
- Other Gaming Services, Specify: _____

Associates

- Voting Shareholder, Investor or Associate in a Gaming Equipment Supplier, Manufacturer, and/or Service Provider where the shares or investment is 5% or more and where the Shareholder, Investor or Associate is a company
- Non-Voting Shareholder, Investor or Associate in a Gaming Equipment Supplier, Manufacturer, and/or Service Provider where the shares or investment is 5% or more and where the shareholder, investor or associate is a company

CORPORATE NAMES

a. Has the applicant company conducted business under a different name in the last ten (10) years?

- No.
- Yes. If yes, provide a list of all other names and addresses under which the applicant company has conducted business for the last ten (10) years. (If additional space is required, please attach and mark as Attachment 3 a.)
-

BUSINESS STRUCTURE

b. Check the appropriate box that best describes the applicant company's business structure.

- Corporation Sole Proprietor Partnership
- Limited Partnership Joint Venture Other (specify): _____

NOTE: Applicant corporations incorporated in another jurisdiction are required to obtain and maintain registration in British Columbia as an extra-provincial company within the meaning of the British Columbia Business Corporations Act in order to be eligible for registration as a gaming services provider. (s.59 GCA) Requirement not applicable to Associated Companies.

BUSINESS STRUCTURE ATTACHMENTS

c. Provide the Certificate and Articles of Incorporation, Charter, By-laws and resolutions; partnership, shareholders and trust agreements; and any other basic documentation, including any periodic updates or amending agreements. List all jurisdictions in which the applicant company is incorporated.

- Attached and marked as Attachment 3 c.
- Information has not changed since last filing with GPEB (filing not required).

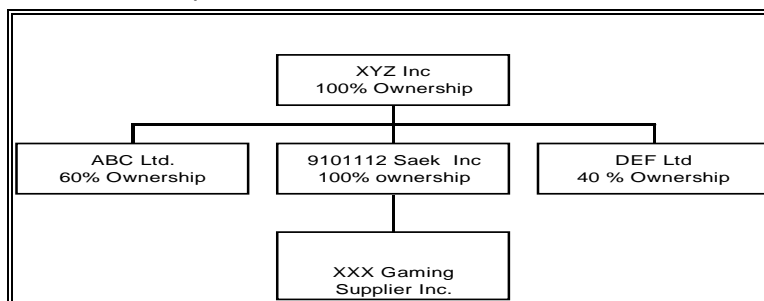
GOODS AND SERVICES

d. Provide a brief history of the company, general outline of the gaming goods or services offered and the experience and capacity of the company to provide such goods or services.

- Attached and marked as Attachment 3 d.
- Information has not changed since last filing with GPEB (filing not required).

BUSINESS RELATIONSHIP CHART

e. Provide a business relationship diagram (per example below) depicting the direct and indirect business relationships between the applicant, its parent, subsidiaries, associate and holding companies, any interest holder of 5% or more and related corporations or business entities.



- Attached and marked as Attachment 3 e.
- Information has not changed since last filing with GPEB (filing not required).

PERSONNEL ORGANIZATION CHART

f. Provide a Personnel Organization Chart indicating the hierarchical structure (Chair, Officers, Directors and Senior Employees) of the applicant company and its subsidiaries.

- Attached and marked as Attachment 3 f.
- Information has not changed since last filing with GPEB (filing not required).

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CORPORATE INTEREST HOLDERS

- a. Are there other companies, business organizations, entities or individuals holding any financial or legal interest in the applicant company, its parent, subsidiaries and affiliates?

No.

Yes. ▶ Provide the following details and mark as indicated below:

- i. A list of other companies, business organizations, and other entities or individuals which hold any financial or legal interest in the applicant company, its parent, subsidiaries and affiliates. Mark as Attachment 4 a.i.
- ii. A list of companies that hold any liens or other interests caused by the applicant company's debt and any agreements relating to these interests. Mark as Attachment 4 a.ii.
- iii. A description of share structure including the number of shares authorized and issued, the rights and privileges attached to different classes of shares, shareholders and share percentages. Mark as Attachment 4 a.iii.

- b. Are there any persons or businesses that have been loaned monies, equipment or assets by the applicant company?

No.

Yes. ▶ Provide the following details and mark as Attachment 4 b.i.

- i. A list of the names of the persons and/or businesses, their addresses, date(s) of loan, amount or asset loaned, reason for such loan(s) and the loan status.

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CHARGES/CONVICTIONS/FINDINGS OF GUILT

- a. Has the applicant company, its parent, a subsidiary, associate or holding company, ever been investigated, charged or convicted of an offence in any jurisdiction?

Note: Include charges where an absolute or conditional discharge or a pardon has been granted; and offences under any level of government which could include administrative offenses at the provincial, state or federal level, as well as criminal offences and sanctions.

No.

Yes. ▶ Provide the following details for each conviction or finding of guilt as Attachment 5 a.

- i. Description of conviction or finding of guilt
- ii. Date of conviction or finding of guilt
- iii. Description of sentence
- iv. Name and address of court
- v. Court file number (if known).

- b. Does the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity have any charges of any kind outstanding in any jurisdiction?

Note: This includes any charges under any legislation, including criminal offences and other provincial, state and federal offences.

No.

Yes. ▶ Provide the following details for each charge as Attachment 5 b.

- i. Description of charge
- ii. Date of charge
- iii. Name and address of court
- iv. Court file number (if known)
- v. Next court date.

- c. Has the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity in the past ten (10) years been the subject of any regulatory or criminal investigation in any jurisdiction?

No.

Yes. ▶ Provide the following details for each investigation as Attachment 5 c.

- i. Subject of investigation
- ii. Name and address of organization conducting the investigation.

- a. Has the applicant company, its parent, a subsidiary, associate or holding company, or related corporation or business entity had a claim made against it in the last ten (10) years in any jurisdiction?

Note: This includes orders, judgments, administrative sanctions taken, disciplinary hearings, fines and penalties imposed.

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 6 a.
- i. Description of claim
 - ii. Name of other parties to the proceeding
 - iii. Outcome of the proceeding
 - iv. Date of proceeding
 - v. Name and address of court
 - vi. Court file number (if known)
 - vii. A copy of the judgment order

- b. Has the applicant company, its parent, a subsidiary, associate or holding company, or related corporation or business entity made a claim against another company in the last ten (10) years in any jurisdiction?

Note: This includes orders, judgments, administrative sanctions taken, disciplinary hearings, fines and penalties imposed.

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 6 b.
- i. Description of claim
 - ii. Name of other parties to the proceeding
 - iii. Outcome of the proceeding
 - iv. Date of proceeding
 - v. Name and address of court
 - vi. Court file number (if known)
 - vii. A copy of the judgment order

- c. Does the applicant company, its parent, subsidiary, associate or holding company, related corporation or business entity have any outstanding claims filed against it and pending dispositions or in the process of being filed in any jurisdiction?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 6 c.
- i. Description of claim
 - ii. Name of other parties to the proceeding
 - iii. Date of proceeding
 - iv. Name and address of court
 - v. Court file number (if known)

Note: You MUST enclose a copy of any Statement of Defense that has been filed.

- d. Does the applicant company, its parent, subsidiary, associate or holding company, related corporation or business entity have any outstanding claims filed against another company and pending dispositions or in the process of being filed in any jurisdiction?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 6 d.
- i. Description of claim
 - ii. Name of other parties to the proceeding
 - iii. Date of proceeding
 - iv. Name and address of court
 - v. Court file number (if known)

Note: You MUST enclose a copy of any Statement of Defense that has been filed.

a. Has the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity ever filed for bankruptcy or receivership or made a proposal under any bankruptcy, insolvency or similar law in any jurisdiction?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 7 a.
- i. Type of proceeding
 - ii. Date of filing
 - iii. Name and address of Trustee
 - iv. Name and address of court
 - v. Court file number, if known
 - vi. Disposition or outcome.

Note: You MUST enclose a copy of any discharge.

b. Has the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity ever been petitioned into bankruptcy or receivership or had a proposal under any bankruptcy, insolvency or similar law, or had any similar action taken against it, in any jurisdiction?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 7 b.
- i. Type of proceeding
 - ii. Date of filing
 - iii. Name and address of Trustee
 - iv. Name and address of court
 - v. Court file number, if known
 - vi. Disposition or outcome.

Note: You MUST enclose a copy of any discharge.

c. Has the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity ever sought relief under any bankruptcy, insolvency or similar law in any jurisdiction?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 7 c.
- i. Type of relief sought
 - ii. Reason for relief sought
 - iii. Date relief sought
 - iv. Name and address of court
 - v. Court file number, if known
 - vi. Disposition or outcome.

d. Has a receiver, monitor, trustee, re-organization trustee or similar officer ever been appointed by a court in any jurisdiction for the business or property of the applicant company, its parent, a subsidiary, associate or holding company, related corporation or business entity?

- No.
- Yes. ▶ Provide the following details for each proceeding as Attachment 7 d.
- i. Name of person appointed
 - ii. Reason for appointment
 - iii. Date of appointment
 - iv. Name and address of court
 - v. Court file number, if known
 - vi. Disposition or outcome.

Has the applicant, its parent, a subsidiary, associate or holding company, or a related corporation or business entity:

- a. applied for any permit, licence, certificate or registration in connection with **gaming** in any jurisdiction?

Note: This includes municipal, provincial/state and federal.

No.

Yes. ▶ Provide the following details as Attachment 8 a.

- i. Name and address of licencing or regulatory body
- ii. Type of permit, licence, certificate or registration
- iii. Date of application
- iv. Date of Expiry
- v. Disposition of application (i.e.: approved, dined, abandoned or withdrawn).

- b. ever had a permit, licence, certificate or registration in connection with gaming refused, denied, suspended or cancelled in any jurisdiction?

Note: This includes any administrative sanctions taken, disciplinary hearings, fines and penalties imposed.

No.

Yes. ▶ Provide the following details as Attachment 8 b.

- i. Name and address of licencing or regulatory body
- ii. Type of permit, licence, certificate or registration
- iii. Action taken (e.g. refused, denied, suspended, revoked or disciplinary action taken)
- iv. Date action taken
- v. Reasons.

- c. ever had a permit, licence, certificate or registration refused, denied, suspended or cancelled by a regulatory body or authority exercising jurisdiction over specific business or activities?

Note: This includes any administrative sanctions, disciplinary hearings, fines and penalties imposed.

No.

Yes. ▶ Provide the following details as Attachment 8 c.

- i. Name and address of association, profession or other body of authority
- ii. Type of permit, licence, certificate or registration
- iii. Action taken (e.g. refused, denied, suspended, cancelled or disciplinary action)
- iv. Date action taken
- v. Reasons.

- d. ever had a permit, licence, certificate or registration (other than those listed in the above questions) refused, denied, suspended, cancelled, abandoned or withdrawn in any jurisdiction?

Note: This includes municipal, provincial/state, and federal business licences.

No.

Yes. ▶ Provide the following details as Attachment 8 d.

- i. Name and address of licencing or other body
- ii. Type of permit, licence, certificate, registration
- iii. Action taken (e.g. refused, denied, suspended, revoked or disciplinary action)
- iv. Date action taken
- v. Reasons.

e. ever engaged in any type of unlawful gambling or gaming enterprise?

No.

Yes. ▶ Provide the following details as Attachment 8 e.

- i. What type of unlawful gaming
- ii. Date and location of unlawful gaming
- iii. Explain the circumstances and the current status.

f. ever been associated with any business or person connected **in any way** with an illegal gambling or gaming enterprise?

No.

Yes. ▶ Provide the following details as Attachment 8 f.

- i. Name and address of business(es) or person(s)
- ii. Date of association
- iii. Explain the circumstances and the current status.

g. ever dismissed or asked for the resignation of an officer, director or senior employee due to criminal activity, association with organized crime or irregular or deceitful activity including but not limited to relating to contracts or customers?

No.

Yes. ▶ Provide the following details as Attachment 8 g.

- i. Name and position of the employee
- ii. Date of dismissal or resignation
- iii. Explain the circumstances and the current status.

A. FINANCIAL STATEMENTS

If a new applicant, attach copies of annual financial statements for the past five (5) years. Once registered, Section 77(1) of the Gaming Control Act and the Conditions of Registration require the submission of financial statements within 6 months of fiscal year end.

Financials attached?

- No. Explain: _____

- Yes. Mark as Attachment 9 a.

B. FINANCIAL INSTITUTIONS

List all financial institutions in which the applicant company has had corporate accounts in the past five (5) years. If more space is required or if this information exists in another format, include it as Attachment 9 b.

Name of Institution	Type of Account	Account Number	Address

C. ANNUAL REPORTS

Attach copies of all annual reports submitted to shareholders, and all annual filings with stock exchanges and securities commissions for the last five (5) years.

Note: If this application is a renewal and during the last registration period GPEB received updated documents, there is no need to resubmit.

Annual Reports attached?

- No. Explain: _____

- Yes. Mark as Attachment 9 c.

D. TAX RETURNS

- i. State the year and location for which the applicant last filed an Income Tax submission.

For the year , filed in _____, _____
City, Province or State Country

- ii. Tax submissions are prepared by:

Firm: _____ Address: _____

- iii. Provide copies of the applicant's income tax submissions for the last five (5) years for each jurisdiction in which the applicant files.

Mark as Attachment 9 d (iii).

- iv. Provide copies of the applicant's Notice of Assessment (receipt) from its income tax authority for the last five (5) years, or the equivalent document from the relevant jurisdiction.

Mark as Attachment 9 d (iv).

- v. Has the applicant company, its parent, a subsidiary, associate or holding company, or related corporation or business entity:

1. ever failed to disclose or report income?

No.

Yes. ▶ Explain the circumstances regarding the nature of the income and the amount and include as Attachment 9 v.1.

2. ever made false claims or tax submissions?

No.

Yes. ▶ Explain the circumstances regarding the nature of the false claim and the amount and include as Attachment 9 v.2.

3. ever been audited or investigated by a tax authority?

No.

Yes. ▶ Explain the circumstances regarding the audit or investigation including the date, outcome and the amount. Mark as Attachment 9 v.3.

4. ever been subject to any tax fines or penalties?

No.

Yes. ▶ Provide circumstances regarding the fine or penalties and if the debt has been paid and include as Attachment 9 v.4.

5. ever been denied an extension for filing a tax submission?

No.

Yes. ▶ Provide circumstances regarding the denial and include as Attachment 9 v.5.

6. ever owed any past due taxes, fees or obligations to local, provincial, state or federal governments?

No.

Yes. ▶ Provide an explanation of the circumstances as Attachment 9 v.6.

CONSENT TO RELEASE OF CORPORATE INFORMATION

This Consent Granted By: _____
[Applicant Company's Name]

WHEREAS:

I, THE UNDERSIGNED am a duly authorized officer of the Corporation named above which has made application to the **GAMING POLICY AND ENFORCEMENT BRANCH** to participate in regulated gaming activities conducted in the Province of British Columbia, Canada, pursuant to registrations issued by the GAMING POLICY AND ENFORCEMENT BRANCH.

The GAMING POLICY AND ENFORCEMENT BRANCH, as a requirement of the Corporation's application, has requested and the Corporation has agreed to execute this consent to Release of Corporate Information for the following purposes:

- A. To evaluate the financial, business regulatory and criminal history of the Corporation for suitability to participate in the gaming industry.
- B. To share information gathered with other gaming regulatory authorities in jurisdictions having agreements to share such information with the GAMING POLICY AND ENFORCEMENT BRANCH or the Province of British Columbia; and
- C. To share information gathered with other law enforcement agencies for gaming related investigations or clearances.

The Corporation agrees that the GAMING POLICY AND ENFORCEMENT BRANCH and its authorized agents may collect and receive information relating to or concerning the Corporation from any or all of the following sources worldwide: international, national, provincial, state, county or municipal law enforcement or security agencies, police services, licensing bodies, sheriff's offices, all government ministries or regulatory agencies, banks, trust companies, brokerage houses, credit bureaus, professional or industry associations or regulators, and former or current customers of clients, and/or any other bodies or entities that may hold information relevant to the background investigation.

The Corporation has been informed that the GAMING POLICY AND ENFORCEMENT BRANCH is required to protect the confidentiality and privacy of information in its possession in accordance with the terms of the Freedom of Information and Privacy Act, (British Columbia) and to use information only for the purposes for which it was collected or for consistent purposes, and except as otherwise consented to herein the Corporation requests that the information collected relating to or concerning the Corporation be protected by the GAMING POLICY AND ENFORCEMENT BRANCH and kept confidential and private.

I, THE UNDERSIGNED:

1. Declare that I am a duly authorized officer of the Corporation with authority to execute and deliver this Consent for and on behalf of the Corporation.
2. On behalf of the Corporation, authorize and direct all persons or parties to whom this consent is presented and that have in their possession or control information or documents relating to or concerning the Corporation or its affairs to provide such information to the GAMING POLICY AND ENFORCEMENT BRANCH and to permit the inspection, copying and removal of copies of such documents by the GAMING POLICY AND ENFORCEMENT BRANCH or its authorized agents, whether or not such information or documents are confidential or might otherwise be protected from disclosure.
3. Without limiting the generality of the authority granted herein, on the behalf of the Corporation, confirm that this authority and direction extends to and includes any and all documents, correspondence and records, including those of any financial institution, including past loan information, notes signed or co-signed by the Corporation, account records of any type, passbooks, safe deposit records, and general ledger folios or entries.
4. Affirm that the GAMING POLICY AND ENFORCEMENT BRANCH may insert on this document the name of any person or entity to whom this consent is presented, together with the name of any person they authorize to deliver this consent.
5. Grant the GAMING POLICY AND ENFORCEMENT BRANCH and its authorized agents full power and authority to exercise any and all powers, and to do all such acts and things as may be required on the Corporation's behalf or in the Corporation's name to cause delivery to them of the information or documents provided to be disclosed to them by this consent, and the Corporation releases and agrees to hold harmless any person or entity complying with this authority and direction from any liability to me whatsoever in connection with such disclosure.

On behalf of the Corporation, consent to the release of any information or copies of any documents provided in accordance with this consent to any other law enforcement agency, or to any other gaming regulatory agency that have agreements to share information with the GAMING POLICY AND ENFORCEMENT BRANCH or the Province of British Columbia.

I _____ BEING DULY SWORN OR AFFIRMED, DECLARE that I am voluntarily executing this form of consent and understand the nature and purpose of this document.

SWORN BEFORE ME at _____)

this _____ day of _____, 201_____)

_____)

Commissioner for taking Affidavits and/or Oaths or Notary Public

Signature of Company President/ Owner

FOR GPEB USE ONLY

THIS REQUEST IS PRESENTED TO:

(Regulatory Agency or Law Enforcement Agency)

BY: _____
Authorized Agent of the GAMING POLICY AND ENFORCEMENT BRANCH

ON: _____
(date)

DECLARATION

I, _____, (company president/owner) being duly sworn or affirmed, depose and say that I am authorized to complete this application, that I have reviewed the application, read the attachments of this application and that they are true and correct to the best of my knowledge and belief. Further, this statement is executed with the knowledge that misrepresentation or failure to disclose any information required by this application is sufficient cause for the rejection by the Gaming Policy and Enforcement Branch of any application. Further, I am aware that later discovery of an omission or misrepresentation made in the above application, attached statements, documents, information and diagrams may be grounds for a determination of ineligibility or cancellation of any existing or future registration that may be granted. Further, that I am voluntarily submitting this declaration under oath with full knowledge.

Company President/Owner/Designate Signature

Declaration sworn before me this

(SEAL)

_____ day of _____, 201 _____

at _____
City Province/State

Commissioner for taking Affidavits and/or Oaths
or Notary Public

CORPORATE ATTACHMENTS - CHECKLIST

As a general guideline, attaching following documents (if applicable) will assist in the completion of the registration process. Each document will be identified with an Attachment Number and cross referenced to the appropriate question.

NOTE: In an effort to reduce paper, space and postage costs, applicants are encouraged to submit their supporting documentation (excluding the Corporate Disclosure Form) electronically.

- Articles of Incorporation, Partnership, Shareholders and/or Trust Agreements, Constitution or Charter, By-laws and Resolutions and a list of all jurisdictions in which the applicant company is incorporated.
(NOTE: Applicant corporations incorporated in another jurisdiction are required to obtain and maintain registration in British Columbia as an extra-provincial company within the meaning of the British Columbia Business Corporations Act in order to be eligible for registration as a gaming services provider.(s.59 GCA Requirement not applicable to Associated Companies.)
- A brief history of the company, a general outline of the gaming goods or services offered by the company and the company's experience and capacity to provide such goods or services.
- A business relationship chart identifying the relationship amongst the applicant company and any companies that have affiliations or interests.
- A personnel organizational chart identifying all senior officials and senior employees.
- A list of all businesses in which the applicant has a financial, organizational or managerial interest (including holding companies), the nature of the interest and a copy of any agreements relating to those interests.
- Press releases and filings to the securities and/or exchange commission(s) in the company's jurisdiction for the previous 12 months. If a new applicant, annual financial statements for the past five (5) years.
- A list of all persons with a legal or equitable interest, directors, officers, shareholders, family members working in the business, and key employees, including their full names, home addresses and dates of birth including any agreements executed by these individuals.
- A list of the senior officials (officers and directors) and senior employees of the applicant company being submitted for registration. GPEB may determine that additional senior officials and employees are required to be registered.
- A description of share structure, the rights and privileges attached to different classes of shares, the percentage of share holdings of each of the directors, officers, or voting shareholders holding 5% or more of shares.
- A copy of the most current shareholders record, if applicable.
- Income Tax Returns for the past five (5) years.
- Notices of Assessment for the past five (5) years.
- Correct application fee (money order or certified cheque) payable to: **Minister of Finance in Canadian Funds. Do NOT** remit cash.