

## AUDIT AND COMPLIANCE DIVISION STATISTICS

GPEB Stats  
2006/2007

*The Audit and Compliance Division of the Gaming Policy and Enforcement Branch manages a comprehensive audit program to ensure compliance with all applicable legislation, regulations, policies and directives in commercial and charitable gaming. The Division audits commercial gaming, including lottery gaming, horse racing, licensed gaming, and community organizations' use of proceeds from licensed gaming events or gaming grants.*

### COMMERCIAL GAMING

The Audit and Compliance Division regularly audits commercial gaming facilities such as casinos, community gaming centres, race tracks and commercial bingo halls. The Division also audits the British Columbia Lottery Corporation, including its conduct and management of lottery gaming. These audits help to ensure that all commercial gaming in the province is conducted in accordance with gaming legislation, directives, policies and procedures.

The Division audits compliance with public interest standards such as the *Responsible Gambling Standards for the BC Gambling Industry* and provides feedback to gaming services providers to improve their compliance.

The Audit and Compliance Division audited all seven licensed poker tournaments that were part of the Gaming Policy and Enforcement Branch's licensed poker pilot project.

### CHARITABLE GAMING

The Audit and Compliance Division regularly audits licensed gaming events held by charitable community organizations, as well as community organizations that receive funding from gaming grants. These audits help ensure that licensed gaming is conducted, and grant funds are used, in accordance with gaming legislation, directives, guidelines, policies and procedures.

In addition, the Audit and Compliance Division delivers an educational program to community organizations to encourage compliance with gaming legislation, regulations, guidelines and policies. This compliance enhancement program also includes educating organizations in the proper accounting and reporting of gaming activity and use of proceeds.

GAMING POLICY AND ENFORCEMENT BRANCH

*Ministry of Public Safety and Solicitor General*

*Know your limit, play within it.*



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## AUDIT ACTIVITY

*Over the past three years the Branch has made it a priority to improve compliance among organizations receiving gaming funds, focusing on ensuring proper use of gaming proceeds and financial accountability of the organizations benefiting from these proceeds. In 2006/07, the Branch's aim was to increase the compliance rate of audited gaming fund recipients to 85 per cent. While the Branch fell just short of this goal, the number of audited groups in full compliance increased by three percentage points over the previous year.*

### TYPES OF AUDITS AND PERCENTAGE OF AUDITED GAMING FUND RECIPIENTS IN COMPLIANCE

Audits of gaming activity in British Columbia	2006/07	2005/06
Audits of commercial gaming venues	49	47
Audits of charitable organizations		
Number of charitable gaming events audited (includes audits of all registered raffles, bi-annual audits of all major ticket raffles and a random selection of other organizations holding licensed events)	94	126
Audits of charitable organizations receiving gaming grants (includes recipients of multiple grants).	388	320
Total number of audit files completed	482	446
<i>Total number of gaming events and gaming grants audited*</i>	<i>568</i>	<i>522</i>
Percentage of audited gaming fund recipients in compliance	83%	80%

\* In 2006/07, the Audit and Compliance Division audited 482 charitable organizations. Some of these organizations held multiple gaming events and/or received multiple grants. In total, the Division audited 568 different grants and licences held by charitable organizations, an increase of 46 from 2005/06.

The Audit and Compliance Division publicly posts the results of all commercial gaming audits, as well as audits of all registered charitable gaming raffles. These audit reports can be viewed at:

[www.pssg.gov.bc.ca/gaming/reports/audits.htm](http://www.pssg.gov.bc.ca/gaming/reports/audits.htm)

## RESPONSIBLE GAMBLING COMPLIANCE AUDITS

*In July 2005, the Province issued Responsible Gambling Standards for the BC Gambling Industry. These standards apply to the BC Lottery Corporation, all service providers, and all commercial gaming facilities. Each year, the Branch audits all casinos, race tracks, and community gaming centres (CGC), as well as approximately half the commercial bingo halls in the province, to ensure gaming services providers comply with these standards.*

The standards focus on ensuring minors are prevented from gambling, patrons have the necessary information with which to make informed choices related to gambling, gambling-related risks are minimized, and persons negatively affected by gambling have access to timely and effective information and assistance.

The ratings of high, medium or low are assigned to indicate the level of compliance with that particular section of the standards. Compliance audits clearly indicate that not all gaming service providers are complying with provincially mandated responsible gambling standards. In the coming year, the Branch will focus on ensuring full compliance with these standards.

### OVERVIEW OF REGISTERED COMMERCIAL GAMING SERVICES PROVIDERS' COMPLIANCE WITH RESPONSIBLE GAMBLING STANDARDS

	Informed Choice	Appropriate Response	Responsible Practices	Financial Transactions	Self-Exclusion Programs
Casinos	Medium	High	Medium	Medium	High
CGCs	Low	Low	Medium	Medium	Medium
Bingo halls	Low	Low	Medium	Medium	Medium
Race tracks	Low	Low	Medium	Low	N/A

These audits focused on five key areas:  
**Informed Choice** – includes proper use of the “*Know your limit, play within it*” tagline, an appropriate level of responsible gambling messaging materials and availability of rules of play and odds information.  
**Appropriate Response** – includes the percentage of gaming workers that have attended Appropriate Response Training.  
**Responsible Practices** – includes having clocks placed in highly visible areas.

**Financial Transactions** – includes prominently displaying information stating that credit may not be extended and describing pay out policies.

**Voluntary Self-Exclusion Programs** – includes a fully operational self-exclusion program, readily available information on the program and monitoring of gaming venues for self-excluded individuals.