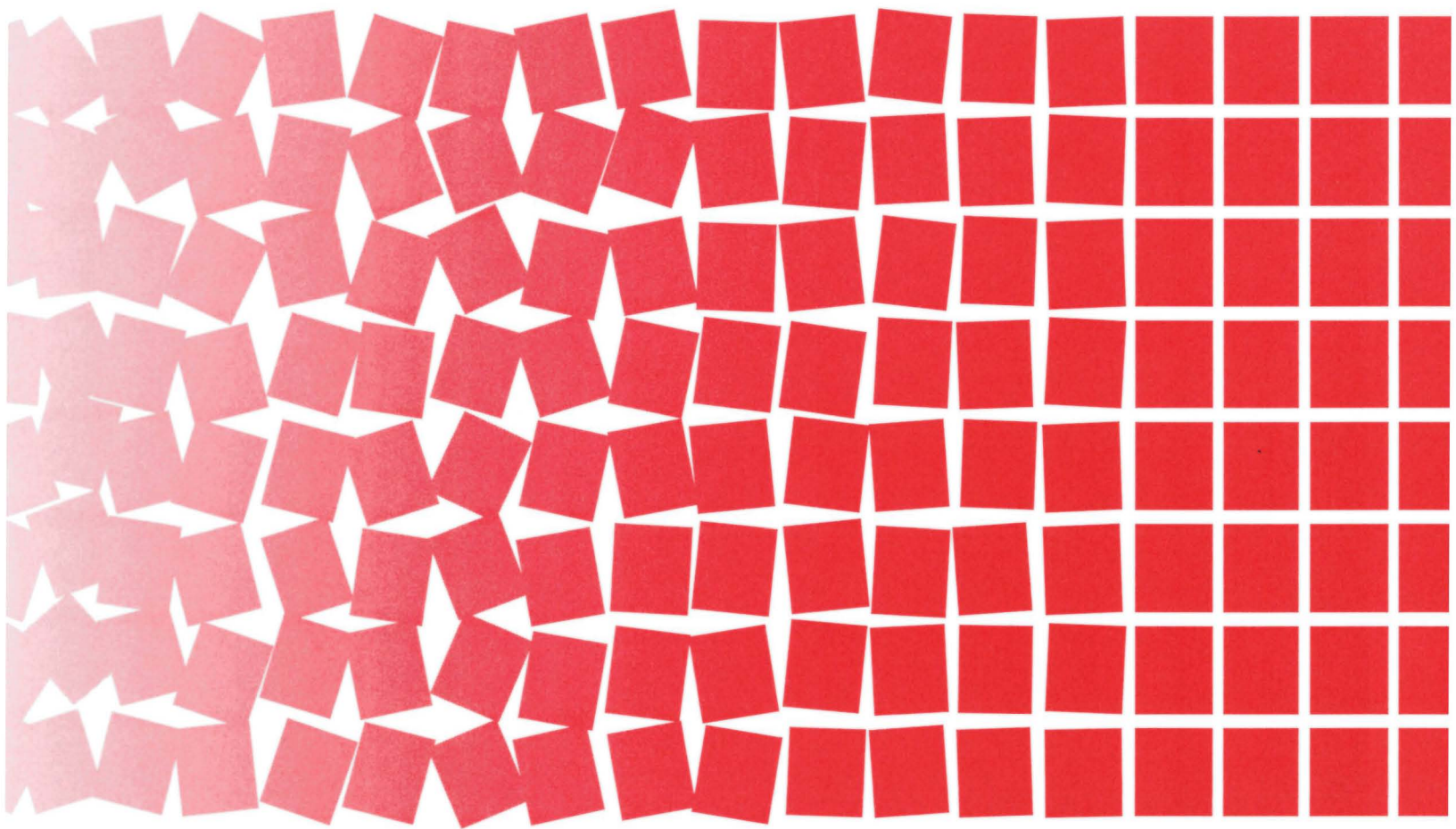


INFORMATION AND PRIVACY OPERATIONAL RECORDS CLASSIFICATION SYSTEM



**INFORMATION AND PRIVACY
OPERATIONAL
RECORDS
CLASSIFICATION
SYSTEM**



Ministry of
Labour and
Citizens' Services

**Corporate Information
Management Branch**

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Schedule No. 194900

RECORDS RETENTION AND DISPOSITION AUTHORITY

Accession No. see "How to Use ORCS" part 3.9.1

This is a recommendation to authorize an operational records classification and scheduling system.

Title *Information and Privacy Operational Records Classification System*

Ministry Labour and Citizens' Services
Chief Information Officer
IM/IT Privacy and Legislation Branch

Description and Purpose:

The *Information and Privacy Operational Records Classification System* (ORCS) covers all operational records created, received, and maintained by the IM/IT Privacy and Legislation Branch.

These records document the creation of provincial government information access and privacy policies and procedures; review and monitoring of provincial government statutes, programs and documentation for compliance with information access and privacy legislation, regulations and policies; and provision of training with regards to information access and privacy.

For further descriptive information about these records, please refer to the attached executive summary.

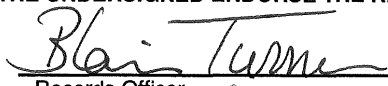


Date range: October 1987

Physical format of records: see attached schedule

Annual accumulation: 5 cubic meters

Recommended retention and disposition: scheduled in accord with attached ORCS.

THE UNDERSIGNED ENDORSE THE RECOMMENDATIONS:

	<u>2008/03/14</u>
Records Officer	Date
	<u>2008/03/19</u>
Executive Director/ADM	Date
	<u>2008/03/26</u>
Deputy Minister/Corporate Executive	Date


THE SELECT STANDING COMMITTEE ON PUBLIC ACCOUNTS APPROVES THE RECOMMENDATION OF THE PUBLIC DOCUMENTS COMMITTEE:

May 27, 2008
Date

THE PUBLIC DOCUMENTS COMMITTEE CONCURS:

 16 Apr 2008
Chair, PDC Date

APPROVED BY RESOLUTION OF THE LEGISLATIVE ASSEMBLY:

 May 28, 2008
Date

OTHER STATUTORY APPROVALS:

_____ Signature	_____ Date	_____ Signature	_____ Date
_____ Title:		_____ Title:	

CONTACT:

RECORDS MANAGEMENT APPRAISAL:

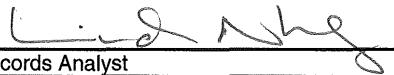
This appraisal documents the recommendation for active and semi-active retention periods.

These records are created and received under the authority of the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) and the *Personal Information Protection Act* (SBC 2003, c. 63) and subsequent legislation governing the operational responsibilities and functions of the creating agency.

Functional duplicates and microfilmed records are indicated under appropriate classification headings.

The retention and final disposition guidelines specified in the attached *Operational Records Classification System* meet the creating agency's information requirements, ensure fiscal and audit control, protect government's legal rights and liabilities, and provide for effective management of the agency's operational functions. Upon expiry of the active and semi-active retention periods, the records covered by this recommendation will no longer be of any primary value to government.

The retention and final disposition guidelines have been established in consultation with the Records Officer and staff and managers of all branches conducting operational functions in the creating agency.


Records Analyst

2008/03/03
Date

ARCHIVAL APPRAISAL:

This appraisal documents the recommendation for final disposition.

The final disposition recommendations protect records considered to have significant evidential and historical values. The specific reasons for retaining certain records are stated within the *ORCS*, as well as in the Executive Summary.

Record series or groups of records which will be retained in their entirety are indicated by "Full Retention."


Record series or groups of records which will be retained in part are indicated by "Selective Retention." Selective retention means that portions of the record series will be retained by means of recognized archival selection criteria. For the meaning of selective retention with respect to a specific record series, see the attached schedule.

The definitions of both selective and full retention provide that records will be preserved in the government archives, and that unnecessary duplicates, transitory materials, and ephemera may be discarded.


Archivist

2008/03/03
Date

The undersigned endorses the appraisal recommendations:


Director, Corporate Information Management Branch

2008/03/05
Date

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

A SA FD

INFORMATION AND PRIVACY

OPERATIONAL RECORDS CLASSIFICATION SYSTEM (ORCS)

EXECUTIVE SUMMARY

This *Operational Records Classification System (ORCS)* establishes a classification system and retention and disposition schedule for the operational records created by the IM/IT Privacy & Legislation Branch of the Ministry of Labour and Citizen Services, under the authority of the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) and the *Personal Information Protection Act* (SBC 2003, c. 63).

These records document establishing and maintaining provincial government policy, standards and guidelines on access to information and privacy issues. This includes records relating to the following: creation of provincial government information access and privacy policies and procedures; review and monitoring of provincial government statutes, programs and documentation for compliance with information access and privacy legislation, regulations and policies; and provision of training with regards to information access and privacy.

The active and semi-active retention periods specified in the schedule meet all operational, administrative, legal, fiscal, and audit requirements. Corporate Information Management Branch has reviewed the final dispositions to ensure that records having enduring evidential and historical values are preserved.

This ORCS covers records created and received since October of 1987, which reflects the creation of the legislation research and development files which eventually resulted in FOIPPA. Prior to this time, the function(s) covered by this ORCS were not carried out by the BC Government.

(continued on next page)

A = Active	CY = Calendar Year	SO = Superseded or Obsolete
SA = Semi-active	FY = Fiscal Year	DE = Destruction
FD = Final Disposition	NA = Not Applicable	SR = Selective Retention
PIB = Personal Information Bank	w = week m = month	FR = Full Retention
PUR = Public Use Records	y = year	FOI = Freedom of Information/Privacy
OPR = Office of Primary Responsibility		VR = Vital Records

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

A SA FD

The following summary describes the types of records covered by this ORCS and identifies their retention periods and final dispositions. In this summary, record types are linked to the ORCS by primary and secondary numbers. Please consult the ORCS manual for further information.

- | | | | | |
|----|---|----|----|----|
| 1) | <u>Policy and Procedures</u>
(secondary -00 throughout ORCS) | SO | 5y | FR |
|----|---|----|----|----|

FR = Throughout this section, the government archives will fully retain policy and procedure files created by offices having primary responsibility for policy and procedure development and approval. These records have evidential value.

- | | | | | |
|----|---|-------|-----|----|
| 2) | <u>Information and privacy annual reports</u>
(secondary 30000-02) | CY+5y | nil | FR |
|----|---|-------|-----|----|

These records document any FOIPPA and PIPA related annual reports as well as any operational annual reports created by IM/IT Privacy & Legislation Branch, such as the CRTS annual statistical reports.

FR = The government archives will fully retain information and privacy annual reports because they provide an annual summary of access requests and document the activities in support of the administration of FOIPPA and PIPA including accomplishments, challenges and ongoing development.

- | | | | | |
|----|---|-------|-----|----|
| 3) | <u>Impact analysis of Commissioner's orders or investigations</u>
(secondary 30100-40) | CY+5y | nil | FR |
|----|---|-------|-----|----|

These records document the analysis and summary of the Information and Privacy Commissioner's orders or investigations by the IM/IT Privacy & Legislation Branch.

FR = The government archives will fully retain the impact analysis of Commissioner's orders or investigations because they document the provincial government's interpretation of the order and the implications for public policy and procedures.

(continued on next page)

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SA = Semi-active	FY = Fiscal Year	DE = Destruction
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	<u>A</u>	<u>SA</u>	<u>FD</u>
4) <u>Information and privacy policy - approved</u> (secondary 30200-02)	SO	5y	FR

These records document the provincial privacy and information access policy policies, strategies, objectives, best management practices, principles, protocols, guidelines, procedures, form templates and tools.

FR = The government archives will fully retain approved policy files because these records have evidential value. They document the policies, procedures, standards and guidelines for privacy and information access practices across government.

5) <u>Information and privacy information bulletins</u> (secondary 30000-03)	SO	nil	FR
---	----	-----	----

These records document the advisories and internet published question and answer bulletins produced by the IM/IT Privacy & Legislation Branch in order to inform clients and the public.

FR = The government archives will fully retain information and privacy information bulletins because they document policy interpretation and advice provided to organizations subject to the Act.

6) <u>Electronic Records</u>			DE
------------------------------	--	--	----

The following electronic databases are covered by this ORCS: the Corporate Request Tracking System (CRTS) and the Personal Information Directory (PID). The Information System Overview section provides information about the electronic system, web sites, inputs and outputs and routine back-ups. Notes under the relevant ORCS secondaries provide information about the classification and scheduling of the records. These records have no enduring value to government at the end of their scheduled retention periods.

(continued on next page)

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SA = Semi-active	FY = Fiscal Year	DE = Destruction
FD = Final Disposition	NA = Not Applicable	SR = Selective Retention
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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

7) All Other Records

DE

All other records are destroyed at the end of their semi-active retention periods. The retention of these records varies depending on the nature of the records and the function performed, but does not exceed seven years. The information these records contain is summarized elsewhere, or reflects policies and procedures adequately documented in records covered by the -00 Policy and procedures secondaries. Significant issues are documented in records which will be retained under the provisions of this ORCS, as well as in briefing notes to the ministry executive (ARCS secondary 280-03). These records have no enduring value to government at the end of their scheduled retention periods.

A = Active

SA = Semi-active

FD = Final Disposition

PIB = Personal Information Bank

PUR = Public Use Records

OPR = Office of Primary Responsibility

CY = Calendar Year

FY = Fiscal Year

NA = Not Applicable

w = week m = month

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INFORMATION AND PRIVACY

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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ISO SECTION	CRTS	Corporate Request Tracking System
	PID	Personal Information Directory
		Information and Privacy internet web site
		Information and Privacy intranet web site

INDEX

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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HOW TO USE ORCS

For further information, call your Records Officer,
Blair Turner, 387-2887

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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HOW TO USE ORCS

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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PART 1

THE OPERATIONAL RECORDS CLASSIFICATION SYSTEM (ORCS)

1.1 General Introduction

The purpose of this chapter of the *ORCS* Manual is to explain the nature, purpose, and usage of the *ORCS*. This chapter is a training tool which should be read by anybody attempting to classify, file, retrieve, or dispose of records covered by the *ORCS*. It is also a reference tool which can help users understand how to implement the *ORCS* and interpret specific parts of it.

This chapter is organized into three parts. Part One gives an overview of *ORCS* in general and an introduction to this *ORCS* in particular. Part Two explains how to read a primary, the basic building block of the *ORCS*. Part Three gives detailed background information on the records management system used by the BC Government, and procedures for using the *ORCS* as part of this system.

1.2 What is an ORCS?

ORCS is a combined records classification and scheduling system that facilitates the efficient and systematic organization, retrieval, storage, and destruction or permanent retention of the government's operational records.

A standard classification system such as *ORCS* is the cornerstone of an effective records management program. Each *ORCS* is tailored to fit the specific operational records relating to a function or program of government.

ORCS is also a records scheduling system. A records schedule is a timetable that governs the life span of a record from creation, through active use within an office and retention in off-site storage, to destruction or transfer to the government archives.

The records schedules incorporated into *ORCS* ensure that all operational records are retained for sufficient periods of time to meet the legal, operational, audit, fiscal or other requirements of government. A records schedule identifies those records that have enduring values and provides for their transfer to the government archives. Records schedules also provide for the timely destruction of routine operational records when they are no longer required to support the government's operational functions.

An integral part of the *ORCS* is the Information System Overview (ISO) Section, which ensures that electronic records are properly identified and scheduled. For further explanation of the ISO, see 3.11.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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What are Operational Records?

Operational records relate to the operations and services provided by a ministry or agency in carrying out the functions for which it is responsible according to statute, mandate, or policy. Operational records are distinct from administrative records and are unique to each government organization.

Operational records are created in a variety of media, including: textual records, photographs, sound recordings, motion picture films, video recordings, audio-visual materials, paintings, prints, maps, plans, blueprints, architectural drawings, and other sound, film, video, photographic, and cartographic materials. Records exist in a number of different physical formats, such as paper, microfilm, and electronic records.

Records documenting administrative functions that are common to all government offices are classified in the government-wide *Administrative Records Classification System* (ARCS). Administrative records document and support functions such as the management of finances, personnel, facilities, property, or information systems. They also document common management processes, including committees, agreements, contract management, public information services, information and privacy, records management, postal services, legal opinions and other similar functions. Although these records are considered administrative, they may have considerable operational importance. For example, a committee may make decisions which affect ministry or agency policy. The ARCS manual is available in hardcopy from your Records Officer, or online at <http://www.lcs.gov.bc.ca/CIMB/arcs/admin/main.asp>.

Some categories of records or data have special retention and disposition requirements and are handled by special records schedules. These schedules are standardized for all ministries and agencies, and cover both administrative and operational records. They can be used effectively to dispose of records not covered by ARCS and ORCS.

The following categories of special records schedules are provided in the ARCS manual:

Schedule No.	Schedule Title
112907	Commission of Inquiry Records
112910	Computer System Electronic Backup Records
102903	Electronic Mail
102906	Executive Records
102908	Record Copies of Published Maps
102905	Special Media Records (photographs, motion pictures etc.)
102902	Transitory Electronic Records
102901	Transitory Records
112913	Unsolicited Records
112914	Voice Mail Records
102904	Word Processing Records
112916	Year 2000 (Y2K) Project Documentation and Test Data Records

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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See the special schedules section of the *ARCS* manual for a description of these records and the records retention and disposition schedules covering them. For further guidance on applying these schedules, contact your Records Officer.

The Purpose of ORCS

ORCS facilitates the efficient management of operational information by:

- providing a tool for executive control of recorded information;
- ensuring that needed information can be accessed quickly and accurately;
- ensuring that records are available to protect the fiscal, legal, operational, audit and other liabilities of government for required periods of time;
- providing for the timely destruction of routine operational records that are no longer required and ensuring that records of enduring value are retained by the government archives;
- providing a framework for the audit and review of operational functions;
- providing a legal basis on which the integrity, authenticity, and completeness of operational records may be established; and
- ensuring that electronic records are appropriately documented and scheduled, in relevant primaries and/or in the Information System Overview (ISO).

Responsibility for ORCS

The Corporate Information Management Branch (CIMB) is responsible for establishing standards for the development of ORCS for the operational records of public bodies covered by the *Document Disposal Act* (RSBC 1996, c.99).

Each ministry, government agency, and Crown corporation has a designated person responsible for implementing and coordinating records management procedures. This records officer, usually known as the Ministry Records Officer or Corporate Records Officer, should be contacted for further information whenever necessary. If you cannot determine who your records officer is, call CIMB at 387-1321.

The BC Archives is responsible for preserving and providing access to records of enduring value to the province. The BC Archives takes care of records that are no longer needed by their creating agencies and have been appraised by an archivist for full or selective retention. In scheduling terms, these records have become inactive and have final disposition designations of full or selective retention. Records in BC Archives custody can be accessed through the BC Archives Reference Room, located at 655 Belleville Street, Victoria, or through the BC Archives website at <http://www.bcarchives.gov.bc.ca>. Access is subject to provisions of the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165).

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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1.3 Introduction to the Information and Privacy ORCS

The operational records in this ORCS relate to the operations and services provided by the IM/IT Privacy & Legislation Branch of the Ministry of Labour and Citizen Services in carrying out the functions for which it is responsible according to statute, mandate, or policy. These records document the creation and monitoring of provincial government policy, standards and guidelines on access to information and privacy issues under the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) and the *Personal Information Protection Act* (SBC 2003, c. 63).

This ORCS covers all operational record series created or received by your branch since October of 1987. These are legislation research and development files which eventually resulted in FOIPPA. It does not cover files closed before that date. To obtain approval for disposition of such records, contact your Records Officer.

ORCS are divided into two types of sections: one or more numbered sections covering broad groupings of primary subjects corresponding to major operational functions and program activities; and an Information System Overview Section (ISO), which identifies and describes electronic records. This ORCS includes the following sections:

Section Number	Primary Numbers	Section Title
-----------------------	------------------------	----------------------

Section 1	30000 - 39999	INFORMATION AND PRIVACY Covers records relating to establishing and maintaining provincial government policy, standards and guidelines on access to information and privacy issues under the <i>Freedom of Information and Protection of Privacy Act</i> (RSBC 1996, c. 165) and the <i>Personal Information Protection Act</i> (SBC 2003, c. 63). This includes records relating to the following: creation of provincial government information access and privacy policies and procedures; review and monitoring of provincial government statutes, programs and documentation for compliance with information access and privacy legislation, regulations and policies; and provision of training with regards to information access and privacy.
ISO	CRTS	Corporate Request Tracking System The purpose of CRTS is to assist ministries in tracking information access requests authorized under the <i>Freedom of Information and Protection of Privacy Act</i> (FOIPPA), to provide reports required by their executives and to administer the operational requirements of the FOIPPA in a timely and efficient manner. It also permits staff to corporately monitor the administration of the FOIPPA, and provide both staff and the ministries with detailed corporate reporting for statistics and analysis.
ISO	PID	Personal Information Directory The purpose of the PID is to provide ministries and other public bodies with the capability to record, manage and report summary descriptions of BC government personal information holdings, in a searchable, public access forum thereby allowing the public to identify the location of personal information about them held by government. Section 69(3) of the <i>Freedom of Information and Protection of Privacy Act</i> requires the publication of a directory of all personal information banks held by

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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government. These summaries currently include Personal Information Banks (PIB), Information Sharing Agreements (ISA), Privacy Impact Assessments (PIA), and Ministerial Orders related to Health Information Banks (HIB) and Health Information Sharing Agreements (HISA).

Within each section, primaries are presented in numerical order and grouped as follows:

- First, there is a section default primary, which provides general information relating to the whole section and to records repeated in other primaries throughout the section (“reserved secondaries” - see 2.6.1).
- The section default primary is followed by all the other primaries, arranged in alphabetical order.
- Groups of related primaries, called “primary blocks”, appear together, indicated by two-part titles and an initial “general” primary (for example, “Client Group Issues - General” and “Client Group Issues - Families”).

As well as the sections consisting of primaries and the ISO section, the *ORCS* has several other parts:

- Executive Summary (provides a high-level overview of the *ORCS*)
- Table of Contents
- How to Use *ORCS* (this section)
- Glossary of Terms (provides useful definitions of records management terminology used throughout the *ORCS*)
- Index (aids in classifying and finding records - see 3.6 for further discussion)
- Appendices (provide codes and other information useful for classifying and understanding the records - see 3.6 for further discussion)

This *ORCS* was reviewed by CIMB staff, your executive, the Public Documents Committee, and the Select Standing Committee on Public Accounts (commonly called the Public Accounts Committee). It was then approved by resolution of the Legislative Assembly. That resolution established this *ORCS* as the retention and disposition schedule for the operational records of the branch. This means that this *ORCS* is a legally binding document, i.e. it has statutory authority.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

PART 2

HOW TO READ A PRIMARY

Although the structure of this *Operational Records Classification System* has been described earlier in this section, you will need to know more about its basic building block, the primary, in order to apply the ORCS schedule effectively.

The following is a sample primary in which each element is annotated with a number. The pages following the sample primary explain the elements, as listed below.

- 2.1 Primary Number and Title
- 2.2 Scope Note
- 2.3 Cross References
- 2.4 Records Retention and Disposition Schedule
 - 2.4.1 Active retention period column
 - 2.4.2 Semi-active retention period column
 - 2.4.3 Final disposition column
- 2.5 Office of Primary Responsibility (OPR)
- 2.6 Secondary Number and Title
 - 2.6.1 Reserved secondaries
 - 2.6.2 Secondaries -02 to -19 (subject secondaries)
 - 2.6.3 Case file secondaries (-20 and higher)
 - 2.6.4 Coded series
- 2.7 Key of Terms (Abbreviations in Footer)
 - 2.7.1 Terms used in the active and semi-active disposition columns
 - 2.7.2 Terms used in the final disposition column
 - 2.7.3 Other terms
- 2.8 Freedom of Information and Protection of Privacy Flags
- 2.9 Explanatory Notes
 - 2.9.1 Qualifiers
 - 2.9.2 Other notes
- 2.10 Physical Format Designations
- 2.11 Vital Records Flags

This draft records schedule has NOT been approved under the provisions of the Document Disposal Act (RSBC 1996, c. 99) and DOES NOT constitute authority for disposition. Corporate Information Management Branch reviews and approves all records retention and disposition recommendations before an ORCS is sent for legislative approval, as required in the Document Disposal Act. For information regarding this ORCS, contact your Records Officer.

2.1

A SA FD

23120 EXTRATERRESTRIAL REGISTRATION AND TRACKING

Records relating to the registration of extraterrestrial visitors to the province. An extraterrestrial is any sentient being originating from another planet, regardless of appearance or ancestry.

2.2

Extraterrestrials are registered and tracked to ensure they do not contravene federal and provincial legislation by attempting world domination, body-snatching, or other anti-democratic activities. Registrants must provide identifying information and report regularly to the ministry liaison assigned.

Record types include registration forms, reference materials, photographs, videotapes, correspondence, memoranda, and reports.

2.3

For a description of the Extraterrestrial Registration System (ERS), see Information System Overview (ISO) section. For records relating to diplomatic etiquette and protocol used in communication with representatives of foreign governments, see ARCS primary 415.

2.4

2.5

Unless otherwise specified below, the ministry OPR (Unidentified Flying Objects Branch) will retain these records for:

CY+1y 3y DE

Except where non-OPR retention periods are identified below, all other ministry offices will retain these records for:

SO nil DE

2.6

- 00 Policy and procedures - OPR - non-OPR
-01 General
-02 Complaints and inquiries
-03 Extraterrestrial reports and statistics

SO 5y FR
SO nil DE
CY+1y 3y FR

FR = The government archives will fully retain these records because they document and analyse extraterrestrial visitors to the province.

2.7

(continued on next page)

A = Active
SA = Semi-active
FD = Final Disposition
PIB = Personal Information Bank
PUR = Public Use Records
OPR = Office of Primary Responsibility

CY = Calendar Year
FY = Fiscal Year
NA = Not Applicable
w = week m = month
y = year

SO = Superseded or Obsolete
DE = Destruction
SR = Selective Retention
FR = Full Retention
FOI - Freedom of Information/Privacy
VR = Vital Records

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A SA FD

23120 EXTRATERRESTRIAL REGISTRATION AND TRACKING (continued)

-04 Visits by unidentified extraterrestrials (includes reference materials relating to visits and visitors as yet unconfirmed and unidentified)

NA = Reclassify records to appropriate case file under secondary 23120-20 when visitor is identified.

2.8 FOI: As extraterrestrials may present a threat to provincial security, access to these records is restricted under the Freedom of Information and Protection of Privacy Act (RSBC 1996, c. 165, s. 15(1)(b)).

PIB -20 Extraterrestrial registrant case files (arrange by registration number) (includes textual records and photographs) SO+3y 300y SR

2.9 SO = when extraterrestrial visitor leaves the province NOTE: The OPR will store extraterrestrial registrant case files under ongoing RCS accession number 99-9111.

303y = This ensures that the file can be reopened if the extraterrestrial returns later in its lifetime.

SR = The government archives will selectively retain these records because they document the effect of extraterrestrial visitors on the province. All files relating to green extraterrestrials will be retained. All other files will be boxed separately and destroyed.

VR -30 Extraterrestrial Registration System (ERS) (electronic database) SO nil DE

2.10 SO = when the function supported by the database is no longer performed by government

2.11

A = Active SA = Semi-active FD = Final Disposition PIB = Personal Information Bank PUR = Public Use Records OPR = Office of Primary Responsibility I:\430-30\ufo_ORCS.doc:2001/07/01 CY = Calendar Year FY = Fiscal Year NA = Not Applicable w = week m = month y = year Schedule 777777 SO = Superseded or Obsolete DE = Destruction SR = Selective Retention FR = Full Retention FOI - Freedom of Information/Privacy VR = Vital Records ORCS/UFO HOW TO USE - 11

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

2.1 Primary Number and Title

Each heading covering a functional or subject grouping of records is allocated a unique five-digit primary number and title within ORCS. This primary is used to classify all information related to the relevant subject or function, regardless of its physical format.

2.2 Scope Note

The scope note describes the functions, uses and content of the records that are to be classified within a primary records classification. A scope note indicates the operational function to which the records relate and outlines the activities and/or work processes leading to records creation. It will also give a general statement about the record types (memos, forms, reports, etc.) and media (photographs, video recordings, etc.) covered, in a paragraph beginning "Includes" or "Record types include". If this paragraph does not appear to be comprehensive, the information should appear in "includes" qualifiers under the relevant secondaries.

2.3 Cross References

Cross references link the primary to related primaries, both within the ORCS and in ARCS. This information can be used to help determine whether records should be classified in a different place, and to ensure that related records can be viewed together. If electronic records are included or referred to in the primary, a reference for the Information System Overview (ISO) section will be included.

2.4 Records Retention and Disposition Schedule

Every ORCS includes a record retention and disposition schedule that indicates how long records should be retained in active storage space, when they should be transferred to semi-active storage, when they should be disposed of, and what their final disposition will be.

Scheduling information for each record series is indicated in the three columns to the right of the classification system information. These columns are headed A (Active), SA (Semi-active), and FD (Final Disposition). They correspond with the active, semi-active, and inactive phases of the life cycle of the record. The abbreviations used in each column are explained in 2.7, "Key of Terms."

For further information regarding the scheduling system used in ORCS, see 3.7.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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2.4.1 Active Retention Period Column

The active (A) column indicates the length of time a record should be retained in the active phase of its life cycle.

Active records are used frequently and therefore are retained and maintained in the office space and equipment of the user.

Entries in the active column often use the designations of "CY" or "FY", with an additional time period indicated. The following are examples of how to apply and interpret the active retention period using "CY" and "FY".

CY+1y

Maintain all records created or received within the calendar year (1 January to 31 December) together until the end of the calendar year. Then retain them for an additional calendar year.

FY+1y

Maintain all records created or received within the fiscal year (1 April to 31 March) together until the end of the fiscal year. Then retain them for an additional fiscal year. When required by financial administration policy and procedures, place financial records relating to the prior fiscal year, but created or received after March 31, on the file for the prior fiscal year.

For information about Superseded or Obsolete (SO) and other terms and abbreviations used in the active retention period column, see 2.7.1. For file maintenance guidelines see 3.8.1.

In the sample primary, extraterrestrial reports and statistics (secondary -03) are active for the calendar year (CY) of their receipt or creation, plus one more year.

2.4.2 Semi-active Retention Period Column

The semi-active (SA) column indicates the length of time a record will be retained in the semi-active phase of its life cycle.

Semi-active records are those that are used only occasionally and therefore need not be maintained in the expensive office space and equipment of the ministry or agency responsible for them. Semi-active records still retain administrative, operational, fiscal, audit, or legal value for the ministry or agency which created the records. Storage of semi-active records in economical, off-site facilities until all values have lapsed results in significant savings.

In the sample primary, extraterrestrial reports and statistics (secondary -03) are semi-active for three years. This means they will be transferred to off-site storage until no longer needed, or in other words until the semi-active period is over. If required, these records may be retrieved from off-site storage during their semi-active phase.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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For boxing and transfer instructions for semi-active records, see 3.9.

2.4.3 Final Disposition Column

The final disposition (FD) column ensures that records with enduring value to the Province are preserved and those that have no enduring value are destroyed.

Records are eligible for final disposition when they become inactive, that is, when their active and semi-active retention periods have lapsed.

In the sample primary, extraterrestrial reports and statistics (secondary -03) are fully retained when they become inactive. This means they will enter the custody of the government archives and can only be accessed through the BC Archives Access Services (655 Belleville Street, phone 387-1952, website <http://www.bcarchives.gov.bc.ca>). Complaints and inquiries (secondary -02) will be destroyed under the primary default schedule.

2.5 Office of Primary Responsibility (OPR)

The retention and disposition requirements for records that are duplicated in central service or headquarters branches and field offices normally differ. In recognition of these differing requirements, every ORCS primary contains two statements regarding the levels of responsibility for records within an ministry or agency (known as the “unless and except statements”).

For each primary, ORCS distinguishes between the office having primary responsibility for a category of records (OPR) and all other offices which hold copies of the same records (non-OPRs). The OPR is the office that has been designated the holder of the official record for the ministry. The OPR maintains the official or master record in order to satisfy long-term operational, financial, legal, audit, and other requirements. All other offices holding duplicate copies are non-OPR offices and maintain their copies for a shorter retention period. All non-OPR retention periods and final dispositions are underscored.

In the sample primary, the Unidentified Flying Objects Branch is the OPR. All offices holding records covered by this primary are non-OPR.

For secondaries that have retention or disposition requirements different from the default retention and disposition values established for the primary, the OPR and/or non-OPR retention periods are listed in the columns to the right of the records classification.

Offices that have primary responsibility for the retention and disposition of records classified in each primary are responsible for retaining those records as the OPR. The

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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Records Officer is responsible for tracking changes to OPRs as reorganizations occur, and for ensuring that OPRs and CIMB are aware of these changes.

2.6 Secondary Number and Title

Secondary numbers and titles designate specific series or groupings of records relating to the function covered by the primary. Secondary titles describe specific types of records and secondary numbers link them to the records retention and disposition schedule. The two-digit secondary number is added to the five-digit primary number to form a complete file number, for example, 23120-04.

All offices must use the same secondary number to refer to the same series or grouping of records. Standardization ensures continuity and consistency in retention and disposition of operational records.

Three types of secondaries exist within ORCS: reserved secondaries, subject secondaries, and case file secondaries.

2.6.1 Reserved Secondaries

Reserved secondaries are secondary numbers used to cover records series which are repeated in several primaries.

Secondaries -00 and -01 are reserved throughout all ORCS and in ARCS.

Secondary number -00 is always reserved for "Policy and procedures" records. These files are used for records concerning operational functions, policies, procedures, regulations, and records which set precedents, reflect management decisions and usage, or document general goals and acceptable procedures of the creating ministry or agency. They include correspondence and other records relating to draft and approved policies on a specific subject including actual policy statements, interpretations of policy, development and discussion of policy, and policy decisions.

Secondary number -01 is always reserved for "general" records that cannot be classified in the specified subject or case file secondaries. Within each primary, the standardized secondary -01 is reserved as a general file. Records which meet one or more of the following criteria may be filed in the general file:

- The document does not relate to any of the existing secondaries, but does fall within the primary.
- There is no existing classification number for the document. The general number is used TEMPORARILY, until the subject is significant enough to warrant creating a new primary or secondary number and title. If you need to use the general secondary in this way, contact your Records Officer and see 3.14, regarding amendment and update of ORCS.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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- The document contains information of a general nature and does not reflect actions or decisions of the creating office.

Note when filing anything under secondary -01 that its retention period may be shorter than that of the related subject or case file secondary, and/or the related secondaries may be scheduled for selective or full retention for the government archives. If this is the case, it is imperative that the file be reclassified before disposition.

If a document relates to two or more secondaries, you should be more precise and file the original or a photocopy of the record in each specific file. It is not appropriate to file such documents under "General" because this will cause access and scheduling problems.

2.6.2 Secondaries -02 to -19 (subject secondaries)

Within a primary, secondaries -02 to -19 represent files for specific subjects and other non-case file series, that is, records filed chronologically in one file folder (e.g., inquiries or reports). These are commonly referred to as subject files. In the sample primary, secondaries -03 (extraterrestrial reports and statistics) and -04 (visits by unidentified extraterrestrials) are subject secondaries.

While the files covered by most subject secondaries can be organized chronologically, some need to be broken down into files covering different aspects of the same subject, different types of report, or some other organization. In these cases, subject secondaries may be coded. For an explanation of coded series, see 2.6.4.

2.6.3 Case File Secondaries (-20 and higher)

Case file series consist of many different files relating to a common function or activity. Each case file contains records pertaining to a specific time-limited entity, such as a person, event, project, transaction, product, organization, etc. The component records within each file in a case file series are generally consistent; that is, a file may contain a variety of documents (such as forms, correspondence, reports, and photographs), but this variety will be consistent with other files in the same series (for example, the same form may appear in every file). Case file series are assigned secondary numbers -20 and higher (-30, -40, -50, etc.). In the sample primary, secondaries -20 (extraterrestrial registrant case files) and -30 (the Extraterrestrial Registration System) are case file secondaries.

2.6.4 Coded Series

Case file secondaries are usually subdivided through the use of codes. A code identifies the specific person, event, project, or other entity covered by the file. Codes can take the following forms: proper names; acronyms, or alphanumeric codes developed within

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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government. Consult your Records Officer if you wish to develop an appendix for your own set of codes.

In special cases, subject files may also be coded to facilitate retrieval (e.g., studies on different aspects of one interprovincial agreement). Coded subject files usually consist of many different files, each dealing with a different aspect of the same subject.

In references to coded files, an oblique (/) separates the identifying code from the secondary number.

In the sample primary, secondary -20 is indicated to be a coded case file series with a qualifier "(arrange by registration number)". A reference to one of these files might read "23120-20/000326".

2.7 Key of Terms (Abbreviations in Footer)

The key at the bottom of each ORCS page indicates the abbreviations used. An explanation of how to read and interpret the records schedule and the possible abbreviations for each of the three columns follows below.

2.7.1 Terms Used in the Active and Semi-Active Retention Period Columns

The purposes of the Active (A) column and the Semi-Active (SA) column are explained in 2.4.1 and 2.4.2 respectively.

The following abbreviations are used, in combination with numbers, to indicate how long records will be kept in the office or offsite:

w = week

m = month

y = year

CY = Calendar Year (1 January to 31 December)

FY = Fiscal Year (1 April to 31 March)

SO = Superseded or Obsolete

"SO" identifies records that must be retained as long as they are useful and for which an active retention period cannot be predetermined because retention is dependent upon the occurrence of some event. This retention category is used in ORCS in the following different ways:

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i) Routine Records

"SO" is commonly used to indicate the active retention period for routine records which are useful only for reference or informational purposes and which usually have no legal, fiscal, or audit values. In those cases, "SO" is not defined by a specific event or action. Rather, it is used to delegate to the creating offices the authority to decide when the records have no further value and are ready for storage or disposition.

The most common form of delegated disposition authority is:

<u>Active</u>	<u>Semi-Active</u>	<u>Final Disposition</u>
SO	nil	DE

This retention category is used throughout ORCS to streamline the disposition of records with short-term retention value, especially for non-OPR records. It delegates the entire responsibility for retention and disposition of the records to the ministry or agency responsible for them. Records with the above retention and disposition schedule may be destroyed when no longer required for operational purposes. In the sample primary, non-OPR policy and procedures files (secondary -00) are routine records which are designated SO.

For information on destruction services, contact your Records Officer.

ii) Specific Definition of SO

"SO" is also used to identify the active retention period for records that must be retained until a case is closed or some other specified event occurs. In those cases, it is not possible to predetermine the length of time a file may be open and required for active use, and "SO" is defined in terms of some specific action, event, or the completion of a procedure.

In the sample primary, extraterrestrial registrant case files (secondary -20) are active for SO+3y, with SO defined as "when extraterrestrial visitor leaves the province". This means that the OPR will retain each file in active storage space for three years after the relevant visitor has left, after which the records will be transferred to off-site storage.

NA = Not Applicable

"NA" is used for records that, for some reason, are not covered by the secondary at the specified stage of their life. This is usually because the records are reclassified at the semi-active or inactive period, with some files moving to a different secondary from others, so they can be scheduled appropriately. The reasons for the "NA" designation may be stated in a "NA =" note, or in an "SO =" note or some other note under the secondary,

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as appropriate. In the sample primary, visits by unidentified extraterrestrials files (secondary -04) have a semi-active and final disposition of "NA" because files are reclassified to secondary -20 when the visitor is identified.

nil = no semi-active retention period

"Nil" is used in the semi-active column to identify records with no semi-active retention period.

Many types of government records remain active as long as they serve an operational function. When their usefulness for that function ceases, they have no semi-active retention period. If they have any residual operational, legal, fiscal, or other values, ORCS may specify in the final disposition column that they are to be transferred to the government archives. Otherwise, the final disposition of such records is usually physical destruction.

In the sample primary, the semi-active period for records in non-OPR offices is designated as nil. This means that as there is no semi-active period, the records are ready for destruction (as designated in the final disposition column) upon expiry of the active period.

2.7.2 Terms Used in the Final Disposition Column

The purpose of the final disposition column (FD) is explained in 2.4.3.

Some government records contain information or data that has long-term value to the agency responsible for them, the government generally, and/or to the public. These records are part of the documentary heritage of the Province of British Columbia and are transferred to the custody of BC Archives for archival preservation. The final disposition of operational records with enduring value can be full retention, selective retention, or destruction, as explained below.

FR = Full Retention

"FR" means that a government archivist has determined that all of the records covered by a secondary have enduring value. BC Archives, also known as the government archives, preserves records designated FR in their entirety. Under the terms of full retention, the archivist responsible may destroy unnecessary duplicates, publications, waste and scrap materials, ephemera (often published material of short-term value), and other items which are not an integral part of the record series. The reasons for full retention are explained in an "FR =" statement. In the sample primary, extraterrestrial reports and statistics (secondary -03) are FR "because they document and analyse extraterrestrial visitors to the province."

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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SR = Selective Retention

"SR" means that a government archivist has determined that a portion of these records have enduring value and should be retained. BC Archives, also known as the government archives, preserves a selection of the records designated SR, following explicit criteria. The reasons and criteria for selective retention are explained in an "SR =" statement. In the sample primary, extraterrestrial registrant case files (secondary -20) are SR "because they document extraterrestrial visitors to the province" and the selection criteria is to retain "all files relating to green extraterrestrials."

SR statements often include instructions requiring staff to box selected records separately from those to be destroyed. They may also require staff to help identify records to be retained under the selection criteria specified; this may involve consultation with the Records Officer and with an archivist from CIMB. The instructions for extraterrestrial registrant case files require that after records to be retained are identified, they are to be boxed separately from records to be destroyed.

Do not destroy records scheduled for selective or full retention. For instructions on how to box and transfer archival records to off-site storage, see 3.9.

Most government records serve no further purpose to government or to the public once they become inactive. The final disposition of such records is:

DE = Destruction

The final disposition of inactive records that have no residual value or insufficient public value to justify their preservation is physical destruction. In some cases, especially in section default primaries, the destruction is justified with a "DE =" note.

Some government records are reclassified in a new primary and/or secondary at the end of their active or semi-active retention period or are alienated from government, either through sale, gift, or loan at the time of their final disposition. The final disposition of such records is:

NA = Not Applicable

See explanation of NA in 2.7.1.

2.7.3 Other Terms

The purpose of the other terms which appear in the key of terms is explained in other sections; see references below:

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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FOI =	Freedom of Information/Privacy	see 2.8
OPR =	Office of Primary Responsibility	see 2.5
PIB =	Personal Information Bank	see 2.8
PUR =	Public Use Record	see 2.8
VR =	Vital Record	see 2.11

2.8 Freedom of Information and Protection of Privacy Flags

All Personal Information Banks (PIB) and Public Use Records (PUR) must be flagged within BC Government records classification systems (see glossary for definitions). The flags are placed in the left-hand column, next to the relevant secondary. In the sample primary, the extraterrestrial registrant case files secondary (-20) is flagged as a PIB.

In certain instances, a more specific justification as to why the information contained in a record series is restricted, in whole or in part, from public disclosure is required for inclusion in the records classification system. In those cases, a special form of explanatory note ("FOI =") is used to explain the access restrictions for the secondary and to point to the section of the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) that allows for the exemption. In the sample primary, the visits by unidentified extraterrestrials secondary (-04) has an FOI note which quotes section 15 (1)(b) of the Act to justify restricting access.

For further information about FOI issues, see 3.10.

2.9 Explanatory Notes

Explanatory notes are used in the ORCS to provide information critical to understanding the records, to explain office procedures and practice, and to summarize provisions governing the creation, processing, accessing, and disposition of documents. They essentially function as scope and content notes for specific secondaries. The two types of explanatory notes are qualifiers and other notes.

2.9.1 Qualifiers

Qualifiers provide information that will help users know what to expect when they actually view the files covered by a secondary. They are provided directly under the relevant secondary title, indented and placed in curved brackets. They are presented below in the order in which they appear below a secondary:

- "Includes" qualifier: this lists types or forms of records covered by the secondary. If there is no includes qualifier, see the "Includes ..." sentence in the scope note for this information. In the sample primary, the visits by unidentified extraterrestrials secondary (-04) "includes reference materials relating to visits and visitors as yet unconfirmed and unidentified."

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- “Arrange” qualifier: this gives the method of arrangement. In the sample primary, the extraterrestrial registrant case files secondary (-20) instructs records creators to “arrange by registration number.”
- Physical format qualifiers: see 2.10 for an explanation of this qualifier.

2.9.2 Other Notes

Other notes provide information to assist with applying schedules to the records; they also assist with comprehending the nature of the records and providing access to them. They are presented below in the order in which they may appear below a secondary.

- OPR =** This note provides the name of the office of primary responsibility if it is different from the primary default OPR. See discussion of OPR in section 2.5.
- SO =** This note explains when a file designated SO should be closed. See discussion of SO in section 2.7.1.
- ##y =** This note gives reasons for active and/or semi-active retention periods which are longer than seven years. In the sample primary, there is a “303y =” note under secondary -20.
- NA =** This note explains why the usual active, semi-active, or final disposition designation does not apply, usually because records have been reclassified or transferred to another agency. See discussion of NA in section 2.7.1.
- SR/FR/DE =** These notes explain why records are being selectively or fully retained, or (if not self-evident) why they are being destroyed. See discussion of these notes in section 2.7.2.
- FOI:** This note explains issues of confidentiality relating to the records. See discussion of the FOI note in section 2.8.
- NOTE:** This note provides any other useful information related to the scope of the secondary, such as history of the records, volume of the records, filing procedures, whether there is an ongoing accession number, or location of copies. In the sample primary, secondary -20 has a note specifying that when the files go offsite, they will be stored “under ongoing RCS accession number 99-9111.” For discussion of ongoing accession numbers, see section 3.9.1(b).

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2.10 Physical Format Designations

All government records, regardless of physical format, must be classified under either an ARCS or an ORCS secondary number. Different physical format versions are all part of a single record series if the information content remains the same, with only the physical format varying. For example, there may be a paper (or “hardcopy”) version and a microfilm version of the same series.

When records are held in several different physical formats each version is identified and scheduled under the same ORCS secondary, unless the versions do not contain identical information or retention schedules vary. In those cases, the physical formats have separate secondaries, with the format identified in the secondary title rather than in a qualifier. The physical format designation is expressed as a qualifier under the secondary title. Retention periods are specified for each physical format designation, unless the primary's default retention periods apply. In the sample primary, the Extraterrestrial Registration System (secondary -30) is identified in a qualifier as an electronic database.

Some physical format designations used in ARCS and ORCS are: paper, microfiche, microfilm, computer output microfiche (COMfiche), computer output microfilm (COM), electronic database, electronic records, and optical disk.

A physical format designation is not used for the purpose of designating records in “special media” (sound recordings, audio-visual materials, maps, photographs, etc.). Information about special media is conveyed, instead, in an “(includes)” qualifier or in the paragraph of the scope note beginning “Includes” or “Record types include”. All the records in a file are covered by the same retention schedule, regardless of media. Related information in an electronic database is subject to the same schedule; for example, in the sample primary, registration information in the ERS (secondary -30) concerning a specific extraterrestrial can be purged when the relevant extraterrestrial registrant case file (under secondary -20) becomes inactive.

NOTE: ORCS approved prior to 2000 may contain secondaries with physical format qualifiers with different retention schedules.

2.11 Vital Records Flags

Vital records are those containing information essential to the functioning of government during and after a disaster, and essential for preserving the rights of citizens (see glossary for more detailed definition).

CIMB recommends that the vital records (VR) of a ministry or agency be flagged within a records classification system. The flag is placed in the left-hand column, directly in front of the secondary that it qualifies. In the sample primary, the Extraterrestrial Registration System (secondary -30) is flagged as a vital record.

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PART 3

ORCS AND AN EFFECTIVE RECORDS MANAGEMENT SYSTEM

3.1 The Purpose of ORCS

ORCS is a standard classification system for operational records. It is a system for the identification and management of operational records regardless of physical format (paper files, microfilm, optical disk, etc.) or media (maps, photographs, videotapes, etc.). It helps you find the information you need, when you need it, at the least possible cost. ORCS also provides a framework to manage the retention and disposition of records. With ORCS you can identify and preserve the essential and dispose of the valueless in a timely fashion.

ORCS integrates three vital records management concepts into one comprehensive management plan for your operational records. ORCS is organized to serve as a retrieval aid, a records classification system, and a records retention and disposition schedule.

A records schedule is a timetable describing and governing the lifespan of a record from the date of its creation through the period of its active and semi-active use, to the date of its disposition, either by destruction, transfer to the custodianship of the government archives, or removal from the control of the Government of British Columbia.

The records schedules incorporated into ORCS identify records of permanent value; protect the operational, audit, legal, and fiscal values of all records; and permit the routine, cost-effective disposition of inactive records.

3.2 Records and Recorded Information

The *Document Disposal Act* (RSBC 1996, c.99) establishes approval requirements for the retention and disposition of records and recorded information. An ORCS is approved under the provisions of the *Document Disposal Act* and describes types of operational records and specifies their retention periods.

The *Document Disposal Act* uses the term "record" as defined in the *Interpretation Act* (RSBC 1996, c. 238, s. 29). Record is defined broadly to include all recorded information regardless of physical format or media:

"Record" includes books, documents, maps, drawings, photographs, letters, vouchers, papers and any other thing on which information is recorded or stored by any means whether graphic, electronic, mechanical or otherwise.

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This definition applies to all recorded information created, kept, used, or filed by the ministries, commissions, boards, and other institutions of the Executive Government of British Columbia to which the *Document Disposal Act* applies.

The *Document Disposal Act* establishes procedures for the approval of the records schedules and classification systems developed by CIMB, government ministries, and other government agencies. This *ORCS* was reviewed by CIMB staff, your executive, the Public Documents Committee, and the Select Standing Committee on Public Accounts (commonly called the Public Accounts Committee). It was then approved by resolution of the Legislative Assembly. That resolution established this *ORCS* as the retention and disposition schedule for the operational records of the branch. This means that this *ORCS* is a legally binding document.

3.3 An Effective Records Management System

An effective records management system has five essential elements to aid retrieval and maintenance:

- the classification and scheduling system
- the file list
- finding aids (indexes and cross-reference guides)
- filing and maintenance procedures
- boxing and transfer instructions

3.4 The Classification System

A standard classification system such as *ORCS* is the cornerstone of an effective records management system. The classification gives an indication of what records are created and used by the ministry or agency and how the records are placed within a records system. The sections, primaries, scope notes, and secondaries of *ORCS* not only indicate standard classification and filing categories, but also aid access and guide retrieval.

ORCS is organized to facilitate records classification, retrieval, retention, and disposition. It is a block numeric records classification system based upon the federal government's model for the development of classification systems.

ORCS is a classification system based upon function and subject. Each functional or subject grouping of records is assigned a unique five-digit number which is called a primary number and is the system's main building block. This number is used to classify all information related to a subject or function, regardless of physical format.

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Primaries which form a logical group of related subjects or functions are assigned sequential numbers in what is called a primary block. Each block contains primary subjects subordinate to the major function of the block.

Primaries are arranged in alphabetical order by title, except for the first primary within a section or primary block. The first primary is the general or "section default" primary and contains records of a general nature relevant to the entire section or primary block. The numerical arrangement of the section or primary block is sufficient to permit expansion and amendment.

A primary may cover a variety of types of records or files, such as policy and procedures, general and routine files, subject files, and case files. Each type is designated by a secondary number. Secondary numbers describe and delineate specific types or series of records. When a greater detail of files is required for any subject, the subordinate files may be coded.

A secondary may cover records created in various physical formats (such as paper, microfilm, microfiche, and optical disk) and media (such as maps, photographs, videotapes). Records in different physical formats may contain the same information but be scheduled differently (for example, the paper and microfilm versions of the same file). Records in different media often contain different information but are filed and scheduled together (for example, textual records and photographs concerning the same building).

A secondary may be flagged for special access and preservation considerations, as a Personal Information Bank (PIB), Public Use Record (PUR), or a Vital Record (VR); see explanations of these terms in 2.8 and 2.11.

For a detailed analysis of primaries and secondaries, see Part 2, "How to Read a Primary."

The classification system is the basis for other finding aids, such as file lists and indexes. ORCS describes all of the operational records which might exist in an office responsible for the functions covered by the ORCS. The file list documents those files that have actually been opened. See 3.5 and 3.6 for discussions of file lists and other finding aids.

3.4.1 Staff Responsibilities and Procedures

CIMB recommends that each staff member be responsible for classifying documents which he or she creates. This includes recording the complete primary and secondary number on the top right hand corner of the document before it is printed, photocopied, filed, or distributed. Over time, your correspondents will begin to quote your file number on return mail and less incoming mail will require classification.

CIMB also recommends that the staff member responsible for opening, logging, and distributing incoming mail classify all incoming mail before it is distributed to the

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addressee. If that individual is unable to classify an individual item, he or she should refer it to the recipient for a primary and secondary number.

Each staff member is encouraged to organize working papers according to ORCS.

One individual within each filing area should have overall responsibility for the central filing system, ensuring that filing procedures, file lists, and finding aids are accurately maintained. He or she will liaise with the staff member responsible for procuring records equipment and supplies. This individual will also check classifications assigned by others and, in the event of amendments, will update the mail logs, file lists, etc.

3.4.2 Classifying Records

It is important to be consistent in assigning primary and secondary numbers, as filing and retrieval is dependent upon the classification number assigned to a document.

CIMB recommends that a memo deal with only one subject. Occasionally, it may be necessary to photocopy a document which deals with more than one subject, place it on two or more files and cross-reference it appropriately. If the document has more than one page, only photocopy the first page and cross-reference it to the location of the complete document.

Records are classified based upon how they will be referenced and retrieved. In order to select a classification number, first read and understand the document. The subject is not always obvious. When the document deals with more than one subject and you are having difficulty classifying it, think about where someone other than yourself would look first for the information.

When classifying the document, use the alphabetic subject index and/or the broad subject approach to find the appropriate secondary. Remember that the secondary relates to the subject and purpose of the document and not necessarily the sender or recipient.

a) Alphabetic Subject Index

To use the index, think of various subject terms which describe the record. Look under that term or synonyms in the index. Locate a number, and then refer to that primary block in the classification system to ensure that the number is the best possible one. Reading the primary scope notes will clarify whether or not a document should be classified in a given primary. Often the cross-references listed below the scope note will lead to a more appropriate primary. If the appropriate classification proves difficult to locate, you may want to contact your Records Officer and suggest an update to the index. For a discussion of the index, see 2.4.

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b) Broad Subject Approach

When it is difficult to describe a document in subject terms, decide under which of the main primary headings the record is most likely to fall. Turn to the list of primaries for the most relevant ORCS section, pick one or more primaries which might be applicable and then browse through those primaries, reading scope notes and reviewing secondary numbers and titles. Choose the most appropriate primary and secondary and classify the document accordingly.

3.5 The File List

The file list is a listing of every file created by or currently in use within an office. An accurate file list is an essential tool, as it documents the creation and existence of government records. It assures the integrity and authenticity of records and may serve as legal evidence.

The file list is vital to ORCS and is a primary tool for the retrieval, control, and maintenance of records. The *Administrative Records Classification System (ARCS)* specifies that file lists will be maintained and classified under ARCS 423 "Records Management - File Control". ARCS 423-03 classifies current lists covering both operational and administrative files.

The file list is also a ready retrieval guide. For the frequent user, it indicates which files have been opened and quickly directs the user to the proper primary and secondary. If a file does not appear on the current file list, a file may be opened under the appropriate primary and secondary. File lists should be regularly updated.

3.6 Other Finding Aids

A wide variety of finding aids may be created and used to facilitate retrieval and classification of operational records. These include subject and keyword indexes, automated retrieval systems, file tracking systems, and lists of case file codes and corresponding titles.

Offices may also develop indexes to meet special needs, including subject cross-reference indexes, automated keyword indexes, proper name indexes, geographic location indexes, etc. The indexes themselves are classified in ARCS 423-05. Please note that they are selectively retained by the government archives.

The index included with this ORCS contains an alphabetical listing of primary and secondary subjects, frequently used terms, organizations, form titles or numbers, etc. This index is the main access point by which the user may quickly locate a subject and the appropriate primary. For commonly used subjects or forms, the index allows rapid access into the classification system in order to determine a primary number.

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As a further aid in the retrieval and classification of administrative and operational records, CIMB provides *ARCS Online* web site links to lists of standard codes compiled by various ministries and agencies of the British Columbia Government. For information about using coded series, see 2.6.4. For guidance in developing codes, see *ARCS Appendix A*.

3.7 The Scheduling System

An essential element of ORCS is the retention and disposition schedule. This schedule is based on the concept that records have a three-stage life cycle.

During the “active” stage, records are needed for frequent reference and updates. At this stage, therefore, records are maintained and stored in the offices of the ministry or agency responsible for the records.

During the “semi-active” stage, records are needed for occasional reference and/or for legal, fiscal, or audit purposes. At this stage records are transferred to the off-site storage facilities provided by Records Centre Services, CIMB (see 3.9 for boxing and transfer instructions).

When records retrieval ceases, and records no longer have any operational, administrative, legal, fiscal, audit, or other primary values, the records become “inactive,” and are ready for final disposition. Based on archival appraisal decisions, the final disposition may be to destroy the records, or to fully or selectively retain them for the government archives.

By retaining records for the retention periods specified in the records schedule, creating offices comply with statutory, regulatory, and policy requirements to maintain certain types of information and data. By disposing of records as specified in the records schedule, creating offices ensure that records of enduring value are preserved for the province, in accordance with Legislature-approved ORCS.

The three stages of the records schedule are expressed in three columns on the right-hand side of the primary page, beside the relevant classification information (primary numbers, titles, and notes). These columns are labelled “A” for active, “SA” for semi-active, and “FD” for final disposition. Appropriate numbers and abbreviations appear in these columns beside the relevant secondaries, indicating what should be done with the records during each stage of the schedule. Notes below the secondaries give any needed explanation and instructions about implementing the schedule.

For further information on the records retention and disposition schedule as it appears in a primary, refer to section 2.4.

3.8 Filing and Maintenance Procedures

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Filing and maintenance procedures are essential to the use and maintenance of any record-keeping system. They are vital to records control. They establish rules for consistency of classification and control of location and access. They provide a set of regular operations for identifying records, incorporating them into the classification system, controlling their use, and disposing of them when no longer required.

The basic activities involved in filing and maintenance are:

- mail management
- sorting
- registration
- classification
- indexing and cross-reference
- location control
- filing
- charge-out
- distribution
- recall and search
- re-filing
- physical maintenance
- purging
- retention and disposition

Requirements for records retrieval, control, and maintenance vary from office to office, and filing and maintenance procedures should reflect these needs. There are a variety of methods and systems which can provide effective operations for these basic functions. Contact your Records Officer for advice.

Use of ORCS does not dictate a specific set of procedures for registration, indexing, location, charge-out, etc. Rather, ORCS is flexible so that it can fit into a wide variety of record-keeping environments.

To effectively implement and maintain ORCS, offices should develop and document records management procedures. Filing and maintenance procedures are classified in ARCS 423-00. Your Records Officer can help to develop appropriate procedures for your office.

3.8.1 File Maintenance

An ORCS covers many types of operational records stored in various physical formats. The ministry or agency responsible for the records has special needs and requirements for its filing system. Each ministry or agency must establish standards for maintaining their files. The following system of file maintenance works well.

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When incoming mail and other records have been classified, they are filed in folders labelled with the complete primary and secondary number and corresponding title.

Government has standardized the use of letter size file folders, paper and filing equipment wherever possible. The purpose of ending the use of legal size files is to reduce government costs by eliminating the necessity of having both legal and letter size papers for records and correspondence. Contact your Records Officer for further information about this important choice.

File folder labels are increasingly generated by records management databases, however it will sometimes be necessary to generate a label manually. Prepare the file folder label with the primary and secondary number on the left and the title on the right. It is not necessary to type the full title in all cases. Type the portions of the title which make the label meaningful. Common sense is used to prepare labels which are concise, yet distinguish files adequately.

The actual file sequence and physical location within the office will be dictated by access requirements and indicated on the file list.

Prepare documents for filing by checking that the primary and secondary number is indicated, paper clips are removed, and duplicate copies of no further value are discarded. Documents should be filed in chronological order with the oldest on the bottom.

In the case of flimsy paper, such as teletype documents and facsimile documents not produced on bond paper FAX machines, photocopy the information onto bond paper prior to filing and discard the flimsy copy. Flimsy paper facsimile documents rapidly deteriorate and the information they contain is lost when this procedure is not followed. Monitor the files for bulk and when the paper thickness exceeds the scoring on the bottom of the folder, close the full folder and start a new one labelled volume 2, 3, 4, etc. Place a coloured paper as the top document in order to indicate that a file is closed. Indicate on that coloured sheet the date range and where future information will be filed. Related volumes are stored together while they are active, and older ones are placed in semi-active storage when their active retention period expires. If multi-volume sets are frequently opened under a single classification, this may indicate the need to create new, more specific classifications.

Where possible, sheets should be fastened in the file folder. When this is not possible or for ease in culling files at the end of the year, CIMB recommends attaching documents to a file back sheet. The file back should be labelled with the fiscal or calendar year and classification number. Use a closed file notice for each file back when the file is closed and mark on it the method and date of final disposition (e.g., "for DE on 1 April 1999"; "for SR on 1 January 2010"; "for FR on 1 April 2001").

Minimize misfiling in the following ways:

- keep file labels legible and simple

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- maintain 3-4 inches of free space on each file shelf or drawer
- place papers in folders so they do not go beyond the scoring on the folder or cover the file label
- write the correct file number or heading on each document or underline it if it appears in the text

3.8.2 File Circulation

To avoid loss of files, especially when numerous staff refer to the same records, use circulation or "out" cards when removing a folder from the cabinet. Write the borrower's initials on the out card. Only remove papers for photocopying and return the papers to their original location in the file. Return files promptly after use.

When photocopies are made for use as working papers, mark them clearly as a "copy" with a stamp which uses a colour of ink other than black.

3.9 Boxing and Transfer Instructions

The records schedules contained in ORCS specify the active, semi-active, and inactive phases of the life cycle of the record and provide for the efficient and systematic transfer of semi-active and inactive records to the off-site storage facilities provided by Records Centre Services, CIMB. Each office should document instructions and procedures for the regular boxing and transfer of records to off-site storage. (For further discussion of records scheduling, refer to 2.4 and 2.7.)

In some cases the records schedule will provide for the immediate destruction of records when they are no longer active. In this case, contact your Records Officer. The Records Officer can provide you with information about the availability of recycling and/or destruction services. Use appropriate forms and procedures as instructed by your Records Officer and **notify your Records Officer before any destruction of records occurs.**

To identify records suitable for boxing, review the files against the ORCS schedules annually and determine what operational records have become semi-active or inactive during the past year. If your office uses an automated database to track files, it may be possible to generate this list automatically. When the list is ready and records are boxed, contact your Records Officer to report that you have semi-active or inactive scheduled operational records which are ready for transfer. Your Records Officer will then request off-site storage and retrieval services from Records Centre Services.

If accumulations of active records produce space problems in office areas before the annual review, contact your Records Officer.

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3.9.1 Accession Numbers

Records Centre Services issues and tracks all accession numbers. An accession number is a number identifying a group of records to be transferred, and is used to label, transfer and store records. Each box within an accession is given a unique box number by adding sequential numbers, beginning with number one, to the accession number. The full number must appear on the label of each box.

For the purposes of illustration, we will use 91-0123 as an example of an accession number. No office should use it to prepare records for transfer!

Box Number: 91-0123-01

91-0123 = the accession number issued by Records Centre Services
-01 = the first consecutive box number in accession 91-0123

There are two types of accession numbers: one-time and ongoing.

a) One-time Accession Numbers

A one-time accession number is used by a single office for a one-time transfer of records to Records Centre Services. For further information about one-time accession numbers, contact your Records Officer.

b) Ongoing Accession Numbers (OANs)

CIMB may establish ongoing accession numbers for categories of administrative or operational records which can be transferred to off-site storage or archival custody year after year. The purpose of an OAN is to group together the same type of records from the same office, and facilitate transfer of those records. The OAN for a category of records must only be used for future transfers of the same type of records.

The "NOTE" format indicated below is used in ORCS to annotate secondary numbers and titles to which an OAN applies.

NOTE: The OPR will store [SECONDARY TITLE] under
ongoing RCS accession number 91-0123.

If 91-0123 were a real OAN, the office to which it was issued would use it for a specific record series or category of records. Accession number 91-0123 is reserved for use by the same office for the same record series until box number 9999 is reached. Then, please ask your Records Officer to obtain a new OAN from Records Centre Services.

An ongoing accession number differs from a one-time number in that box numbers within an accession are always consecutive. For example, if box numbers 91-0123-1 to 91-0123-10 were transferred in July 1991 and ten more

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boxes were ready for transfer in October 1992, the box numbers used in October 1992 would begin with the next unused number (i.e., in October 1992 numbers 91-0123-11 to 91-0123-20 would be used).

The OAN uniquely identifies the transferring office and the category of records which may be transferred as part of the accession.

If several offices are responsible for transferring records of the same type to semi-active storage or archival custody, each office will be assigned its own OAN. Other special arrangements may be made in consultation with your Records Officer and Records Centre Services.

3.9.2 Transfer of Records to Off-Site Storage

Records Centre Services manages off-site storage for all records having a scheduled semi-active retention period, and for all inactive records scheduled for selective or full retention. Contact your Records Officer if you have concerns about the following:

- if a records schedule does not provide for semi-active storage for a record series which, in your opinion, requires it
- if a records schedule does not provide for the archival retention of a record series which does, in your opinion, have evidential or historical value, or
- if you require off-site storage for active records.

a) Arranging Boxes

Organize records for transfer as follows: (These guidelines are based on the *ARS 517 "Authority to Apply Approved Schedule" Standards and Orientation Guide*).

1. Do not put files covered by different retention and disposition schedules (ARCS - 100001 or ORCS) in the same box.
2. Box records scheduled for destruction (DE) separately from records scheduled for selective retention (SR) or full retention (FR).
3. Box SR records separately from FR records. Contact your Records Officer if your office does not generate a sufficient volume of records to allow for full separate boxes.
4. If records have no scheduled semi-active retention period and a scheduled final disposition of SR or FR (e.g., SO, nil, SR), box them separately from all other records. Arrange the files within the box by primary and secondary number. Contact your Records Officer if your office does not generate a sufficient volume of records to allow for full separate boxes.

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5. Place records of the same or similar retention periods and date ranges in the same box.
6. Whenever possible, box records of the same type together (e.g., case file series or large subject file series should be boxed together).
7. If records have different semi-active retention periods, box first by retention period and then within retention period by primary and secondary numbers.

b) **Box Numbering**

Arrange and number boxes that have similar classifications, date ranges, retention periods and final dispositions consecutively according to the date range of the records (e.g., accounts payable, fiscal year 1994/95 in boxes 1 & 2; accounts payable, fiscal year 1995/96 in boxes 3 & 4; accounts payable, fiscal year 1996/97 in boxes 5 & 6).

c) **Boxes With Varied Classifications, Retention Periods And Date Ranges**

Please consult with your Records Officer when records within a box have varying classifications, retention periods and date ranges.

Records Centre Services provides off-site storage for all inactive records scheduled for selective or full retention. If a records schedule does not provide for the archival retention of a record series which does, in your opinion, have historical, archival, or other residual values, contact your Records Officer to propose that the schedule be amended.

3.10 Freedom of Information and Protection of Privacy

The purpose of the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) is to ensure that the public has the right to access government records and to protect personal information about an individual from unauthorized collection, use or disclosure by public bodies. That legislation affects the design, development, retention scheduling, and implementation stages of all operational and administrative records classification systems.

To determine whether your records are outside the scope of the legislation, whether your records contain personal or confidential material, and what procedures for information disclosure exist within your ministry or agency, contact your Director/Manager of Information and Privacy. If you have questions specific to file operations or procedures, contact your Records Officer. For information about FOI notes in the ORCS, see 2.8.

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3.11 Electronic Records and the Information System Overview

Information that has been created, collected, maintained and/or retained by a government ministry or agency is classified and scheduled within *ORCS*, regardless of media or physical format. The additional technical information required for the scheduling of electronic records is documented using the standard format for the Information System Overview (ISO) and Information System Overview for an Subsystem (ISOS). See the ISO section of this *ORCS*.

The Information System Overview (ISO) serves three main purposes:

- to provide a high-level description of the function and information content of the system, in other words a map of the data managed by the system and the major stages through which it flows while being processed;
- to document the records-keeping context of the system by identifying how the system and related records are classified in the *ORCS*;
- it may be used to schedule the electronic system by indicating when its active life ends and what the final disposition will be.

Electronic records (that is, the records created and maintained on an electronic system) are scheduled as secondaries like all other forms of records, as well as being documented in the ISO. An electronic system may be scheduled in the Information System Overview Section, and also may be included under the primary covering the function or activity to which it relates; for example, the Extraterrestrial Registration System (ERS) appears as secondary -30 in the sample primary. A system related to functions covered by an entire section of the *ORCS* will appear in the section default primary, or if it relates to the entire *ORCS*, in the *ORCS* default primary. Information in the database relating to specific entities is covered by the schedules relating to the relevant case files; for example, the registration information in the ERS concerning a specific extraterrestrial can be purged when the relevant extraterrestrial registrant case file (under secondary -20) becomes inactive.

Electronic mail (or "e-mail") should be classified and filed under the secondaries covering the relevant functions and activities. For further information on e-mail, see special schedule 102903 in the *ARCS* manual.

3.12 Implementation of ORCS

Each ministry, government agency and Crown corporation has a designated officer responsible for implementing and coordinating records management procedures. This records officer, often called the Ministry Records Officer (or MRO) or Corporate Records Officer (CRO), should be contacted for further information whenever necessary. If you cannot determine who your Records Officer is, call CIMB at 387-1321.

The Records Officer plans and coordinates the implementation of *ORCS*.

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The eight requirements for implementation and maintenance of ORCS are:

1. Executive support.
2. A records management policy.
3. An implementation and training plan.
4. Designated responsibilities for implementation and maintenance of ORCS.
5. Designated offices of primary responsibility for types of operational records requiring multiple levels of retention.
6. Training in ORCS and general records management for support staff in a training program established by your Records Officer.
7. Established procedures for the storage and retrieval of semi-active records and disposition of inactive records.
8. Established maintenance, review, and update procedures under the administration of the Records Officer.

3.13 Advisory Services

CIMB provides limited advisory services to assist records officers with the implementation and maintenance of ORCS. Your Records Officer is available to help you establish efficient filing procedures and effective records administration. Other services which may be provided by your Records Officer are as follows:

- project planning and coordination assistance
- file conversions
- a records management training program
- selection of filing equipment and supplies
- assistance with retrieval systems, indexes, file tracking, and active records control
- off-site storage and retrieval of semi-active records
- disposition of inactive records
- transfer of permanently valuable records to archival custody
- automation of records management functions

3.14 Amendment and Update of ORCS

Maintenance of ORCS, including the use of primaries and secondaries, is a joint responsibility of the records holder and the Records Officer.

Effective maintenance depends upon:

- trained records staff
- documented policies and procedures
- coordination and review by the Records Officer

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- designated responsibilities for:
 - records classification
 - maintenance of indexes and file lists
 - other record and file operations

CIMB maintains the master edition of this *ORCS* and is responsible for administering the amendment and review process. The Records Officer is responsible for advising CIMB of proposed amendments. Amendments take effect upon the approval of the Legislative Assembly. Distribution of amendment pages and implementation of amendments is a responsibility of the ministry or agency.

Filing instructions and explanations of changes accompany the distributed amendments. After updating the *ORCS*, insert the instructions behind the Register of Amendments, located at the front of the *ORCS* manual. Date and sign the Register of Amendments.

Offices should refer proposals for new primaries and secondaries or other suggested changes to their Records Officer, who will in turn refer them to CIMB. Proposals will be jointly reviewed by CIMB staff and the Records Officer. While awaiting formal approval, "interim secondaries" may be established. These must be different from any existing secondary numbers and titles. Include records covered by interim secondaries in file lists, and highlight them on the Records Officer's copy of each file list. Once interim secondaries are approved, they will be included in future editions of the *ORCS*.

Changes in the status of primaries, secondaries, and scope notes will be highlighted on the far left of each relevant primary page, in two ways:

- || Double vertical bars indicate a proposed change at the primary or secondary level or to a scope or explanatory note. Proposed changes may be used for classification purposes, but require the approval of the Legislative Assembly before they may be used for records disposition actions.
- A bullet indicates a change at the primary or secondary level which has been approved by the Legislative Assembly.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

A SA FD

SECTION 1

INFORMATION AND PRIVACY

PRIMARY NUMBERS

30000 - 39999

Section 1 covers records relating to establishing and maintaining provincial government policy, standards and guidelines on access to information and privacy issues under the *Freedom of Information and Protection of Privacy Act* (RSBC 1996, c. 165) and the *Personal Information Protection Act* (SBC 2003, c. 63). This includes records relating to the following: creation of provincial government information access and privacy policies and procedures; review and monitoring of provincial government statutes, programs and documentation for compliance with information access and privacy legislation, regulations and policies; and provision of training with regards to information access and privacy.

A =	Active	CY = Calendar Year	SO = Superseded or Obsolete
SA =	Semi-active	FY = Fiscal Year	DE = Destruction
FD =	Final Disposition	NA = Not Applicable	SR = Selective Retention
PIB =	Personal Information Bank	w = week m = month	FR = Full Retention
PUR =	Public Use Records	y = year	FOI = Freedom of Information/Privacy
OPR =	Office of Primary Responsibility		VR = Vital Records

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

SECTION 1

30000 –INFORMATION AND PRIVACY - 39999

TABLE OF CONTENTS

30000 INFORMATION AND PRIVACY - GENERAL
30100 - ADVICE AND COMPLIANCE
30200 - POLICY DEVELOPMENT
30300 - TRAINING

A =	Active	CY = Calendar Year	SO = Superseded or Obsolete
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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

30000 INFORMATION AND PRIVACY - GENERAL

Records not shown elsewhere in the information and privacy section which relate generally to establishing and maintaining provincial government policy, standards and guidelines on access to information and privacy issues under the *Freedom of Information and Protection of Privacy Act (FOIPPA)* (RSBC 1996, c. 165) and the *Personal Information Protection Act (PIPA)* (SBC 2003, c. 63).

This primary includes annual operational reports, advisories, bulletins, projects, reference material and issues management not specific to another function. Issues management covers topics such as privacy protection, routine release, access fees, response time, and website information access standards.

Record types include correspondence, memoranda and other types of records as indicated under relevant secondaries.

NOTE: Only records that cannot be classified in a more specific primary or secondary may be classified under this primary.

For administrative annual reports, see ARCS secondary 442-20.
For orders in council, see ARCS secondary 135-35.

Unless otherwise specified below, the ministry OPR (IM/IT Privacy & Legislation Branch) will retain these records for:

CY+2y nil DE

Except where non-OPR retention periods are identified below, all other ministry offices will retain these records for:

SO nil DE

-00 Policy and procedures

- OPR

SO 5y FR

- non-OPR

SO nil DE

FR = Throughout this section, the government archives will fully retain all policy and procedure files created by offices having primary responsibility for policy and procedure development and approval. These records have evidential value.

-01 General

(continued on next page)

A = Active
SA = Semi-active
FD = Final Disposition
PIB = Personal Information Bank
PUR = Public Use Records
OPR = Office of Primary Responsibility

CY = Calendar Year
FY = Fiscal Year
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w = week m = month
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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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		<u>A</u>	<u>SA</u>	<u>FD</u>
30000 INFORMATION AND PRIVACY - GENERAL (continued)				
-02	Information and privacy annual reports (covers <i>FOIPPA</i> and <i>PIPA</i> annual reports as well as any operational annual reports such as CRTS annual statistical reports)	CY+5y	nil	FR
	FR = The government archives will fully retain information and privacy annual reports because they provide an annual summary of access requests and document the activities in support of the administration of <i>FOIPPA</i> and <i>PIPA</i> including accomplishments, challenges and ongoing development.			
	NOTE: See secondary 30000-20 for the development files.			
-03	Information and privacy information bulletins (includes advisories and internet published question and answer bulletins)	SO	nil	FR
	FR = The government archives will fully retain information and privacy information bulletins because they document policy interpretation and advice provided to organizations subject to the Act.			
	NOTE: See secondary 30000-20 for the development files.			
-04	Information and privacy web sites	SO	nil	DE
	SO = when web sites are altered, updated, redesigned or closed			
	DE = The web sites and the pages on them will be destroyed when no longer needed because the information they contain is all documented elsewhere. As the web sites are updated, superseded/obsolete versions of documents on them may be destroyed in accordance with approved retention schedules. When the web sites are closed, they can be destroyed after relevant schedules have elapsed and/or the documents have been classified elsewhere.			

(continued on next page)

A =	Active	CY =	Calendar Year	SO =	Superseded or Obsolete
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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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		<u>A</u>	<u>SA</u>	<u>FD</u>
30000 INFORMATION AND PRIVACY - GENERAL (continued)				
-20	Information and privacy report and bulletin development case files (arrange by report)	SO	5y	DE
	NOTE: See secondary 30000-02 for the final annual reports and secondary 30000-03 for the information bulletins.			
-30	Information and privacy project case files (arrange by project name) (covers general issues management)	SO	nil	DE
	SO = upon completion of the project, and when no longer required for reference purposes			
	NOTE: This secondary is reserved for those projects which are not better classified under another secondary in this section.			
-40	Information and privacy reference and issue case files (includes copies of the public record index, public body list and the self-governing body list) (arrange by subject or topic)	SO	nil	DE

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

30100 INFORMATION AND PRIVACY – ADVICE AND COMPLIANCE

Records relating to providing advice to clients with respect to information access and protection of privacy issues and monitoring government bodies for compliance with established information and privacy legislation, regulations and policies.

Clients include any provincial bodies as well as private companies, organizations and non-profit associations.

Compliance monitoring includes: reviewing ministries' privacy impact assessments; coordinating cross-government information access requests; providing comments on and assistance with information sharing agreements, research agreements, and privacy provisions in government contracts; and receiving reports and providing assistance with privacy breaches and with unauthorized foreign demands for personal information. It also includes administering the Personal Information Directory (PID) database and the Corporate Request Tracking System (CRTS) database.

Branch staff also review the findings of the Information and Privacy Commissioner as well as legislation and policies from other jurisdictions (e.g., provincial, state and federal governments).

Record types include correspondence, memoranda and other types of records as indicated under relevant secondaries.

For consultative committees, see ARCS secondary 200-20.

For the CRTS, see the Information System Overview (ISO) section.

For general inquiries, see ARCS primary 320.

For the Information and Privacy Commissioner's investigations, reviews and inquiries, see ARCS primary 290.

For ministries' FOI issues, see ARCS secondary 292-50.

For ministries' privacy issues (e.g., privacy breaches), see ARCS secondary 293-50.

For ministries' weekly reports on FOI requests, see ARCS secondary 448-20.

For the PID, see the ISO section.

For the publication of impact analyses on the Internet, see ARCS primary 312.

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

30100 INFORMATION AND PRIVACY – ADVICE AND COMPLIANCE
(continued)

these files document only the reporting on and summaries of the breaches.

-20	Client review and advice case files (includes copies of the documents being reviewed and compliance evaluation reports) (arrange by client, then by issue, document type, program or project) (covers legislation, regulations, policies, information sharing agreements, research agreements and contracts)	SO	5y	DE
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SO = when the document, project or program is replaced, updated or obsolete or the client review and advice volume is too old to be relevant

NOTE: For reviews of PIAs, see secondary 30100-60.

NOTE: This series contains records relating to the interpretation of the *Acts* for public bodies and the private sector. Public bodies, such as provincial government ministries, Crown corporations and other public bodies covered by the *Acts*, request interpretations of specific sections of the *Acts* and explanations of their options or obligations.

-25	Corporate information access request tracking data files	SO	nil	DE
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SO = when the provincial government no longer tracks information access requests

NOTE: This secondary is not for filing. It is used to classify the data within the CRTS.

-30	Cross-government information access request case files (arrange by sequential number assigned by CRTS) (covers the coordination of cross-government access	SO	5y	DE
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(continued on next page)

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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A SA FD

30200 INFORMATION AND PRIVACY - POLICY DEVELOPMENT

Records relating to the development and approval of provincial privacy and information access policy. Policy includes strategies, objectives, best management practices, principles, protocols, guidelines, procedures, form templates and tools. Working files will include a complete copy of the final approved policy.

Record types include correspondence, memoranda and other types of records as indicated under relevant secondaries.

For development of legislation and regulation, see ARCS primary 140. For executive issues, including final issues reports, see ARCS secondary 280-40.

Unless otherwise specified below, the ministry OPR (IM/IT Privacy & Legislation Branch) will retain these records for:

CY+2y nil DE

Except where non-OPR retention periods are identified below, all other ministry offices will retain these records for:

SO nil DE

-00	Policy and procedures (covers only internal, operating policies and procedures)	- OPR	SO	5y	FR
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- non-OPR SO nil DE

-01	General				
-02	Information and privacy policy - approved (includes the <i>Freedom of Information and Protection of Privacy Policy and Procedures Manual</i>) (includes approved policy, strategy, objective, best practice, procedure, principle, standard, guideline and templates for research agreements, information sharing agreements, privacy impact assessments, and privacy protection schedules)		SO	5y	FR

FR = The government archives will fully retain approved policy files because these records have evidential value. They document the policies, procedures, standards and guidelines for privacy and information access practices across government.

NOTE: See secondary 30200-20 for the development files.

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OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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	<u>A</u>	<u>SA</u>	<u>FD</u>
30200 INFORMATION AND PRIVACY - POLICY DEVELOPMENT			
(continued)			
-03	Information and privacy policy project tracking	SO	nil DE
-20	Information and privacy policy development case files (includes discussion papers, legal opinions, meeting minutes, policies from other jurisdictions, drafts and a copy of the final approved policy) (arrange by policy) (covers policy amendments, consultation, background information and research)	SO	5y DE

SO = when approved policy is superseded or obsolete, and the file is no longer needed for reference purposes

NOTE: See secondary 30200-02 for the approved policies.

NOTE: This secondary covers the review, amendment and development of templates for information sharing agreements (ISA), research agreements and privacy protection schedules. For the review of the actual completed documents from clients, see secondary 30100-20.

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A SA FD

30300 INFORMATION AND PRIVACY - TRAINING

Records relating to the development and provision of training sessions, programs and course materials for information and privacy legislation, policy and procedures as well as forms use and general issues awareness.

Training programs may be developed and/or delivered by the ministry or by an organization or contracted instructor. A certification process will provide proof that individuals have successfully completed the training.

Unless otherwise specified below, the ministry OPR (IM/IT Privacy & Legislation Branch) will retain these records for:

CY+2y nil DE

Except where non-OPR retention periods are identified below, all other ministry offices will retain these records for:

SO nil DE

-00	Policy and procedures	- OPR	SO	5y	FR
		- <u>non-OPR</u>	<u>SO</u>	<u>nil</u>	<u>DE</u>
-01	General				
-02	Information and privacy proof of certification - electronic		CY+9y	nil	DE

10y = Proof of certification is maintained for 10 years to provide evidence of training.

-20	Information and privacy training case files (includes course materials, instructors' materials and examinations) (arrange by name and date of the training session or program) (covers presentations, speeches, seminars, workshops and on-line courses)		SO	5y	DE
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SO = when the materials are no longer required for reference or research purposes

NOTE: When the branch sponsors or organizes a conference, use ARCS 220. When the branch participates in a third party conference, use 30300-20. When the

(continued on next page)

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A SA FD

30300 INFORMATION AND PRIVACY – TRAINING (continued)

branch collects conference materials, but neither participates, nor sponsors the conference, use non-OPR ARCS 220.

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INFORMATION SYSTEM OVERVIEW SECTION

TABLE OF CONTENTS

ISO TITLE

Corporate Request Tracking System CRTS

Personal Information Directory PID

ISOW TITLE

Information and Privacy internet web site

Information and Privacy intranet web site

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided *ORCS* has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing *ORCS*, contact your Records Officer.

INFORMATION SYSTEM OVERVIEW

Name of Creating Agency

Ministry of Labour and Citizens' Services
Chief Information Officer
IM/IT Privacy & Legislation

System Title

Corporate Request Tracking System (CRTS)

Purpose

The purpose of CRTS is to assist ministries in tracking information access requests authorized under the *Freedom of Information and Protection of Privacy Act (FOIPPA)*, to provide reports required by their executives and to administer the operational requirements of the *FOIPPA* in a timely and efficient manner. It also permits staff to corporately monitor the administration of the *FOIPPA*, and provide both staff and the ministries with detailed corporate reporting for statistics and analysis.

Information Content

CRTS is a web based Oracle database that holds request data (e.g., numbers, details, description, comments, exceptions and reviews; fees and refunds; receive, start, due and end dates; number of working days, status and extensions; staff member assigned to the request and activity; and code tables and access) and applicant data (e.g., type, individual and organization names, details, address and contact information).

The CRTS began operations July 6, 2001, replacing the Request Tracking System (RTS).

Inputs, Processes, and Outputs

Inputs

CRTS inputs consist of request information entered by participating ministries. The ministries classify those requests under *ARCS* primaries 146, 151, 290, 292, 293 and 352.

Processes

CRTS tracks information access requests authorized under the (*FOIPPA*) and requests to correct personal information.

Outputs

CRTS outputs consist of specific and general reports as well as ad hoc statistical reports. Participating ministries also generate tracking and statistical reports classified under *ARCS* primaries 290, 292, 293 and 352.

Technical Maintenance

Electronic records are maintained on the system until their retention schedule is complete. The electronic records are then purged from the system. Any exceptions to this purge routine are noted under specific secondaries.

The electronic systems are routinely backed up in accordance with ministry policy and special schedule 112910 in the *ARCS* manual.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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For retention and disposition schedules, see relevant primaries, which are listed in the classification section below.

Classification

Electronic System

The electronic system is not classified in the ORCS. The classifications for the electronic records it generates are listed below. The system schedule is provided under "System Scheduling and Disposition".

Electronic Records

Secondary No.	Secondary Title
30100-25	Corporate information access request tracking data files

Inputs

Secondary No.	Secondary Title
ARCS 292-30	FOI requests for general information
ARCS 292-40	FOI requests for personal information
ARCS 293-30	Requests to correct personal information
30100-30	Cross-government information access request case files

Outputs

Secondary No.	Secondary Title
ARCS 292-02	Request tracking
ARCS 292-03	Release of information
ARCS 293-02	Release of personal information
ARCS 293-04	Correction of personal information
30000-02	Information and privacy annual reports
30100-02	Corporate information access request statistical reports
30100-30	Cross-government information access request case files

Other Related Records

- For administrative records relating to the system, see ARCS section 6 and other relevant primaries.
- For computer system backup records, see schedule 112910 in the ARCS manual.
- For transitory electronic data processing (EDP) records, see special schedule 102902 in the ARCS manual.
- For user guides and manuals, see ARCS 6450-80.

System Scheduling and Disposition

A system will be destroyed when the function it supports is no longer performed by the provincial government, and when the approved retention schedules covering the information on it have elapsed, or the information has been preserved elsewhere. If all data is migrated to a new system performing the same function, this ISO applies to the new system and the old system may be destroyed.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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INFORMATION SYSTEM OVERVIEW

Name of Creating Agency

Ministry of Labour and Citizens' Services
Chief Information Officer
IM/IT Privacy & Legislation

System Title

Personal Information Directory (PID)

Purpose

The purpose of the PID is to provide ministries and other public bodies with the capability to record, manage and report summary descriptions of BC government personal information holdings, in a searchable, public access forum thereby allowing the public to identify the location of personal information about them held by government. Section 69(3) of the *Freedom of Information and Protection of Privacy Act* requires the publication of a directory of all personal information banks held by government. These summaries currently include Personal Information Banks (PIB), Information Sharing Agreements (ISA), Privacy Impact Assessments (PIA), and Ministerial Orders related to Health Information Banks (HIB) and Health Information Sharing Agreements (HISA).

Information Content

PID is a web based database that holds summary data (e.g., name and ID of the summary, status, dates, description of personal information collected/used/disclosed, legal authority for collection/use/disclosure of the personal information, limitations on the use/disclosure of the personal information, and compliance review details) and public body data (e.g., name and branch of public body, ARCS/ORCS numbers and ministry contact information for more information). The summary records do not contain personal information.

The PID was originally developed in 2000 and efforts to re-platform the application began in 2007.

Inputs, Processes, and Outputs

Inputs

Public bodies are required by provincial policy to update the summary information.

Processes

PID processes no data.

Outputs

There are no formal PID outputs, but any person or organization may print a summary through the internet.

Technical Maintenance

Electronic records are maintained on the system until their retention schedule is complete. The electronic records are then purged from the system. Any exceptions to this purge routine are noted under specific secondaries.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided *ORCS* has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing *ORCS*, contact your Records Officer.

The electronic systems are routinely backed up in accordance with ministry policy and special schedule 112910 in the *ARCS* manual.

For retention and disposition schedules, see relevant primaries, which are listed in the classification section below.

Classification

Electronic System

The electronic system is not classified in the *ORCS*. The classifications for the electronic records it generates are listed below. The system schedule is provided under "System Scheduling and Disposition".

Electronic Records

Secondary No.	Secondary Title
30100-55	Personal information directory summary data files

Inputs

Secondary No.	Secondary Title
<i>ARCS</i> 151-25	Approved inter-ministry and inter-agency agreements
<i>ARCS</i> 293-20	Personal information banks
<i>ARCS</i> 293-60	Privacy impact assessments

Outputs

Secondary No.	Secondary Title
None	

Other Related Records

- For administrative records relating to the system, see *ARCS* section 6 and other relevant primaries.
- For computer system backup records, see schedule 112910 in the *ARCS* manual.
- For transitory electronic data processing (EDP) records, see special schedule 102902 in the *ARCS* manual.
- For user guides and manuals, see *ARCS* 6450-80.

System Scheduling and Disposition

A system will be destroyed when the function it supports is no longer performed by the provincial government, and when the approved retention schedules covering the information on it have elapsed, or the information has been preserved elsewhere. If all data is migrated to a new system performing the same function, this ISO applies to the new system and the old system may be destroyed.

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

This records schedule is approved in accordance with the *Document Disposal Act* (RSBC 1996, c. 99). It constitutes authority for retention and disposition of the records described herein provided ORCS has been implemented according to standards approved by Corporate Information Management Branch. For assistance in implementing ORCS, contact your Records Officer.

INFORMATION SYSTEM OVERVIEW FOR A WEB SITE

Name of Creating Agency

Ministry of Labour and Citizens' Services
Chief Information Officer
IM/IT Privacy & Legislation

Web Site Title and URL

Information and Privacy internet web site; <http://www.msar.gov.bc.ca/privacyaccess/>

Purpose

The purpose of the Information and Privacy internet web site is to provide public access to legislation, programs, services, training and educational offerings and topics of interest related to provincial information and privacy.

Information Content

The Information and Privacy internet web site contains:

- Policies, procedures and templates;
- Impact analyses of the Privacy Commissioner's Orders;
- Corporate Request Tracking System (CRTS) statistics; and
- Sponsored and un-sponsored Conference information.

Web Site Features

Features of the Information and Privacy internet web site include:

- Access to the Personal Information Directory (PID);
- Access to upcoming training sessions; and
- Links to web sites related to information and privacy legislation and regulations; the offices of the Privacy Commissioner for British Columbia, the Privacy Commissioner of Canada, the provincial Chief Information Officer, and the Corporate Information Management Branch; and links to various information and privacy web sites for reference.

Classification and Scheduling

Records are maintained on the web site as long as appropriate. For retention and disposition schedules covering these records, see relevant secondaries listed below.

Web Site

Secondary No.	Secondary Title
30000-04	Information and privacy web sites

Records on the Web Site

Secondary No.	Secondary Title
ARCS 220-20	Conferences, seminars, symposia
30000-02	Information and privacy annual reports
30000-03	Information and privacy information bulletins
30000-40	Information and privacy reference and issue case files
30100-02	Corporate information access request statistical reports

OPERATIONAL RECORDS CLASSIFICATION SYSTEM

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30100-40	Impact analysis of Commissioner's orders or investigations
30200-02	Information and privacy policy – approved
30300-20	Information and privacy training case files

Other Related Records

- For computer system backup records, see special schedule 112910 in the *ARCS* manual.
- For administrative records relating to the web site, see *ARCS* section 6 and other relevant primaries.

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INFORMATION SYSTEM OVERVIEW FOR A WEB SITE

Name of Creating Agency

Ministry of Labour and Citizens' Services
Chief Information Officer
IM/IT Privacy & Legislation

Web Site Title and URL

Information and Privacy intranet web site; <http://gww.cio.gov.bc.ca/policy/default.htm> and <http://gww.cio.gov.bc.ca/services/privacy.htm>

Purpose

The purpose of the Information and Privacy intranet web site is to provide government restricted access to topics of interest related to provincial information and privacy.

Information Content

The Information and Privacy intranet web site contains:

- Policies, standards, procedures, guidelines and forms;
- Annual reports and organization charts; and
- Information and privacy bulletins and articles.

Web Site Features

Features of the Information and Privacy intranet web site include:

- Access to training schedules; and
- Links to web sites related to information and privacy legislation, regulations, policies, standards, procedures, guidelines and best practices; the offices of the Privacy Commissioner for British Columbia and the Privacy Commissioner of Canada; and links to various information and privacy web sites for reference..

Classification and Scheduling

Records are maintained on the intranet web site as long as appropriate. For retention and disposition schedules covering these records, see relevant secondaries listed below.

Web Site

Secondary No.	Secondary Title
30000-04	Information and privacy web sites

Records on the Web Site

Secondary No.	Secondary Title
30000-02	Information and privacy annual reports
30000-03	Information and privacy information bulletins
30000-40	Information and privacy reference and issue case files
30200-02	Information and privacy policy – approved

Other Related Records

- For computer system backup records, see special schedule 112910 in the ARCS manual.

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- For administrative records relating to the web site, see *ARCS* section 6 and other relevant primaries.

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INFORMATION AND PRIVACY

OPERATIONAL RECORDS CLASSIFICATION SYSTEM (ORCS)

INDEX

This index provides an alphabetical guide to ORCS subject headings and relevant primary number(s). It should enable the user to locate the primary number(s) in which documents relating to a specific subject or function may be classified. It should also aid the user in retrieving, by subject, documents which have been classified and filed.

Employees responsible for records classification should use this index as a means of access to the contents of ORCS. Once they have located what appear to be relevant index entries for a given subject, they should refer to the primary or primaries listed in order to determine which is the correct classification and also to determine the correct secondary. Often, the primary scope notes will clarify whether or not a document should be classified in a given primary. Primary scope notes should be read carefully as they delineate a primary's subject and content.

This index contains an alphabetical listing of:

- a) all keywords which appear in primary titles;
- b) all keywords which appear in secondary titles;
- c) keywords which appear in primary scope notes;
- d) common synonyms for indexed keywords; and
- e) common abbreviations.

Cross-references:

"See" references indicate that the subject heading sought is indexed under an alternate entry (a more appropriate keyword, a full or official name, etc.).

"See also" references are used when additional information can be found in another entry.

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<u>SUBJECT HEADINGS</u>	<u>PRIMARY NUMBERS</u>
- A -	
ACCESS FEES, INFORMATION ACCESS POLICY	30200
ADVICE ON INFORMATION AND PRIVACY	30100
ADVISORIES (See INFORMATION BULLETINS)	
ANNUAL REPORT	30000
- B -	
- C -	
CLIENT, INFORMATION AND PRIVACY ADVICE	30100
COMPLIANCE EVALUATION (See also REVIEW)	
- information access in contracts, forms and programs	30100
- personal information banks	30100
- privacy protection in contracts, forms and programs	30100
CONFERENCES	
- doesn't participate or sponsor (i.e., reference only)	non-OPR ARCS 220
- participates, but doesn't sponsor	30300
- sponsored by office	ARCS 220
CORPORATE PRIVACY	
- compliance evaluation	30100
- general issues	30000
- projects	30000
- training courses	30300
CORPORATE REQUEST TRACKING SYSTEM	30100
CROSS-GOVERNMENT FOI REQUESTS	
- coordination	30100
- deemed to be not cross-government	30100
CRTS (See CORPORATE REQUEST TRACKING SYSTEM)	
- D -	
DIRECTORY OF RECORDS	30000
- E -	

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<u>SUBJECT HEADINGS</u>	<u>PRIMARY NUMBERS</u>
EVALUATION (SEE COMPLIANCE EVALUATION)	
- F -	
FOI (See FREEDOM OF INFORMATION)	
<i>FOIPPA (See FREEDOM OF INFORMATION AND PROTECTION OF PRIVACY ACT)</i>	
FOREIGN DEMAND FOR DISCLOSURE, UNAUTHORIZED	30100
FREEDOM OF INFORMATION	
- general issues	30000
<i>FREEDOM OF INFORMATION AND PROTECTION OF PRIVACY ACT (FOIPPA)</i>	
- amendments to	ARCS 140
- annual report	30000
- directory of records	30000
- interpretation of	30100
- schedule 2: public body list	30000
- schedule 3: self-governing body list	30000
FREEDOM OF INFORMATION AND PROTECTION OF PRIVACY POLICY AND PROCEDURES MANUAL	30200
FREEDOM OF INFORMATION REQUESTS	
- corporate tracking	30100
- ministries' weekly reports	ARCS 448
- requests deemed not cross government	30100
- weekly corporate CRTS report	30100
- G -	
- H -	
- I -	
IMPACT ANALYSIS, INFORMATION AND PRIVACY COMMISSIONER'S ORDERS	30100

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<u>SUBJECT HEADINGS</u>	<u>PRIMARY NUMBERS</u>
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- compliance evaluation	30100
- general issues	30000
- projects	30000
- training courses	30300
INFORMATION AND PRIVACY	
- advice	30100
- clients	30100
- policy	30200
- reviews	30100
INFORMATION AND PRIVACY COMMISSIONER	
- investigations, reviews and inquiries	ARCS 290
- orders, impact analysis of	30100
INFORMATION BULLETINS	30000
INFORMATION SHARING AGREEMENT	30100
INTERPRETATION OF INFORMATION AND PRIVACY	30100
ISA (See INFORMATION SHARING AGREEMENT)	
ISSUES	30000
	- J -
	- K -
	- L -
LEGISLATION	
- <i>FOIPPA</i> and <i>FOIPPA</i> amendments	ARCS 140
- information and privacy reviews	30100
	- M -
MINISTRIES' WEEKLY REPORTS OF FOI REQUESTS	ARCS 448
	- N -

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<u>SUBJECT HEADINGS</u>	<u>PRIMARY NUMBERS</u>
- O -	
- P -	
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PIA (See PRIVACY IMPACT ASSESSMENT)	
PIB (See PERSONAL INFORMATION BANK)	
POLICY	
- corporate privacy	30200
- information access	30200
- internal policies	-00 secondaries
- project tracking	30200
POLICY AND PROCEDURES MANUAL	30200
PRIVACY	
(See also CORPORATE PRIVACY AND PRIVATE SECTOR PRIVACY)	
- general issues	30000
- policy	30200
PRIVACY BREACHES	30100
PRIVACY IMPACT ASSESSMENTS (PIA)	30100
PRIVACY PROTECTION, PRIVACY POLICY	30200
PRIVATE SECTOR PRIVACY	
- projects	30000
- training courses	30300
PROGRAM PRIVACY REVIEWS	30100
PROJECTS	30000
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<u>SUBJECT HEADINGS</u>	<u>PRIMARY NUMBERS</u>
- Q -	
QUESTION AND ANSWER ADVISORIES (See INFORMATION BULLETINS)	
- R -	
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- compliance (See COMPLIANCE EVALUATION)	
- CRTS statistical	30100
- ministries' weekly summary of FOI requests	ARCS 448
- weekly summary of corporate FOI requests	30100
RESPONSE TIME, INFORMATION ACCESS POLICY	30200
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(See also COMPLIANCE EVALUATION)	
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- of policy for information and privacy	30100
- of programs or systems	30100
- of regulations for information and privacy	30100
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- S -	
SCHEDULE 2 FOIPPA PUBLIC BODY LIST	30000
SCHEDULE 3 FOIPPA SELF-GOVERNING BODY LIST	30000
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- U -	
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<u>SUBJECT HEADINGS</u>		<u>PRIMARY NUMBERS</u>
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	- W -	
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	- X -	
	- Y -	
	- Z -	